

**A Symposium after 20 Years of  
Ceramic Research and Technology at ETH Zürich**

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Transparent Ceramics for Laser Gain  
Media - A new paradigm in advanced  
ceramics

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Project supported by *JTO Contract #FA9451-06-D-0012 and VLOC Inc*  
*and the National Science Foundation Grant # DMR07-4931*

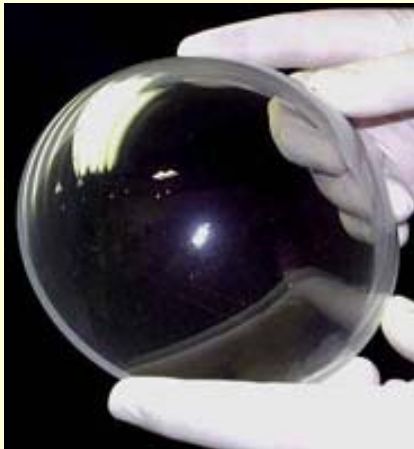


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Sept 4-6, 2008 ETH Zurich

# Most transparent ceramics have a cubic crystal structure

Material	Composition	Crystal Structure
Yttrium Aluminum Garnet	$3Y_2O_3 \cdot 5Al_2O_3$	cubic
Ytria	$Y_2O_3$	cubic
Scandium oxide	$Sc_2O_3$	cubic
Lutetium oxide	$Lu_2O_3$	cubic
AlON	AlON	cubic
Spinel	$MgO \cdot Al_2O_3$	cubic
Zinc sulfide	ZnS	cubic
Alumina (Lucalox)	$Al_2O_3$	rhombohedral



Spinel dome (Surmet)



Transparent components of sintered corundum with sub- $\mu$ m microstructure



Fraunhofer

Institut  
Keramische Technologien  
und Sinterwerkstoffe

# Light-scattering sources in transparent ceramics

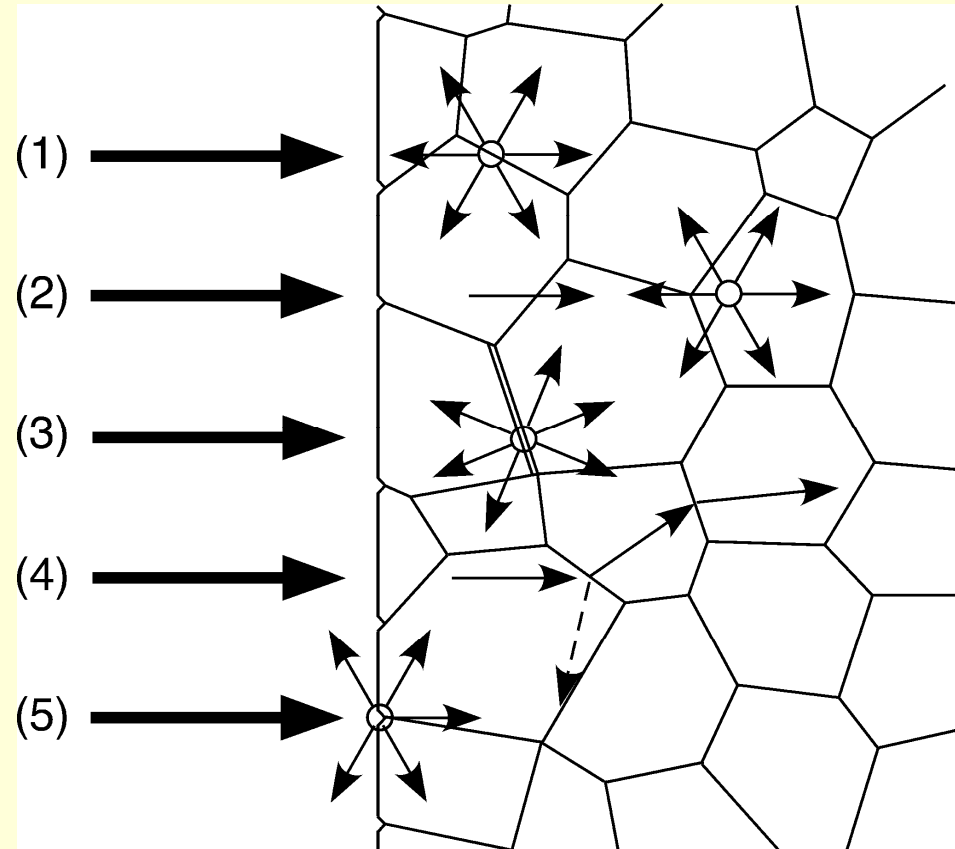
**Refractive index modulation around GB**

**Index changes by inclusions or pores**

**Segregation of different phases**

**Birefringence**

**Surface scattering by roughness**

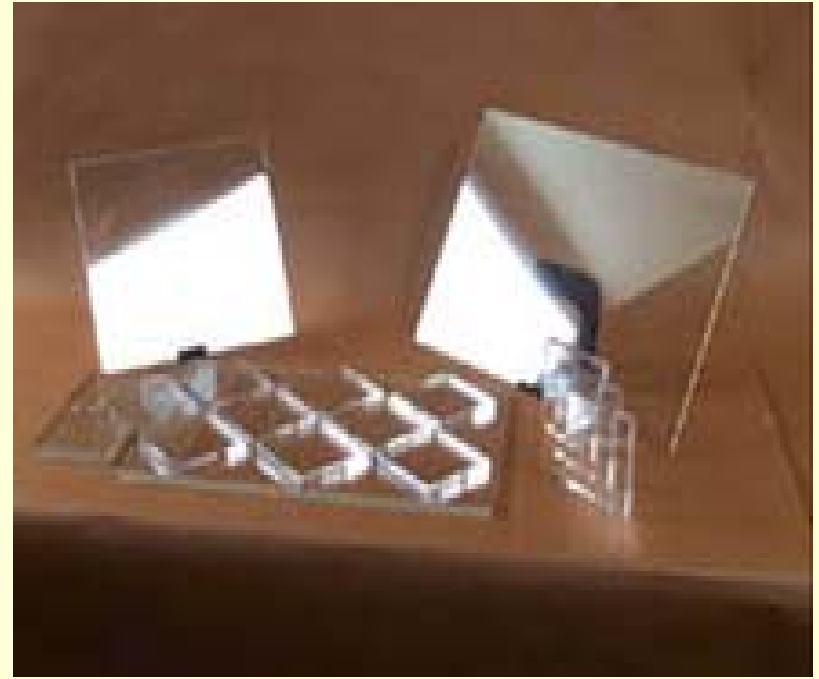


# Transparent spinel and ALON are now commercial

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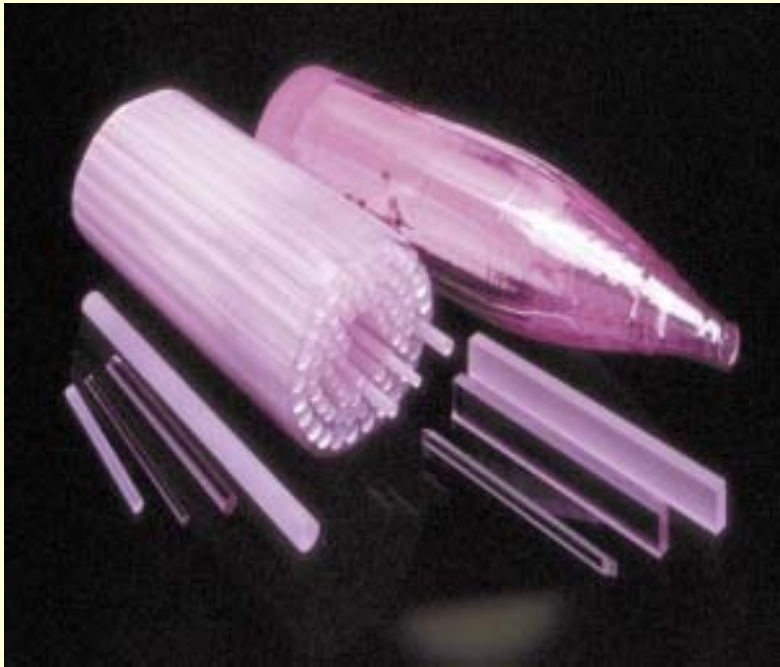


**Spinel panel (Technology Assessment and Transfer Inc.)**

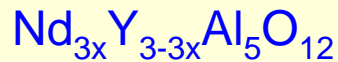


**ALON from Surmet Inc.**

# Czochralski grown Nd:YAG single crystals

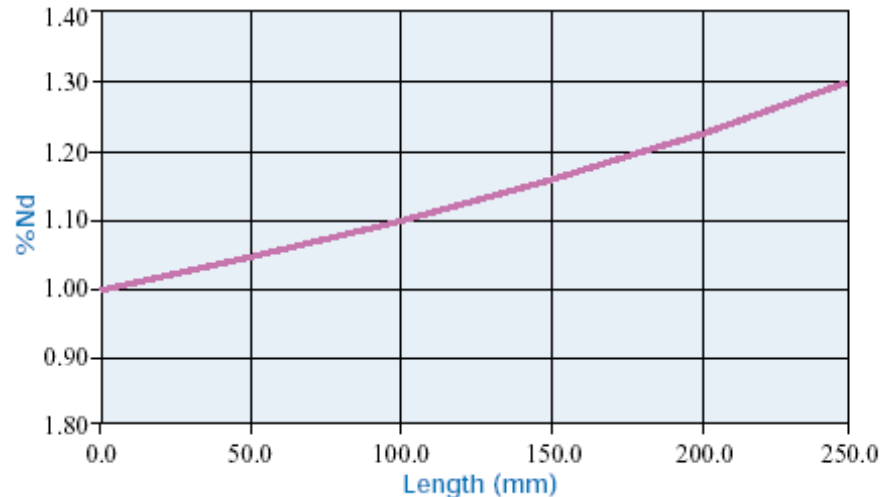


- very slow growth rate (4-5 weeks)
- defect region exists
- need high temperature furnace
- requires expensive Iridium crucible
- Nd doping limited to 1.4 at%  
as a result of the high segregation coeff



- cubic structure (Garnet)
- $\text{Nd}^{+3}$  replaces  $\text{Y}^{+3}$
- ionic radius of Nd is larger than Y  
( $\text{Nd}^{+3}$  : 0.098 nm,  $\text{Y}^{+3}$  : 0.090 nm)

Nd:YAG Dopant Variation



only 25% of melt can be used

(Ref. Yttrium Aluminum Garnet Laser Materials, VLOC brochure)

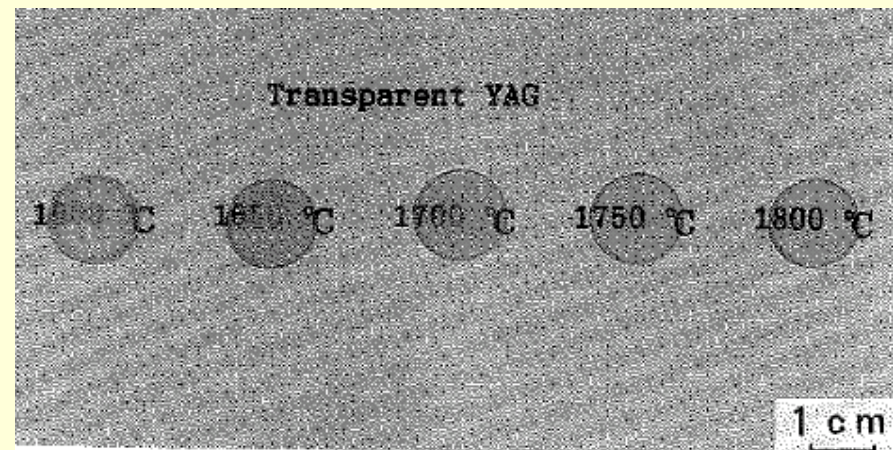


# Transparent ceramics for laser gain media

- 1972 Greskovich, Woods & Chernoch – First demonstrated laser gain in a ceramic (1% Nd-89 mol%Y<sub>2</sub>O<sub>3</sub>10 mol% ThO<sub>2</sub>)
- 1984 de With et al. produced translucent YAG
- 1995 Ikesue reported transparent YAG in 1995, and laser generation
- 2002 Ueda, Yanagitani et al. reported laser generation in commercial YAG



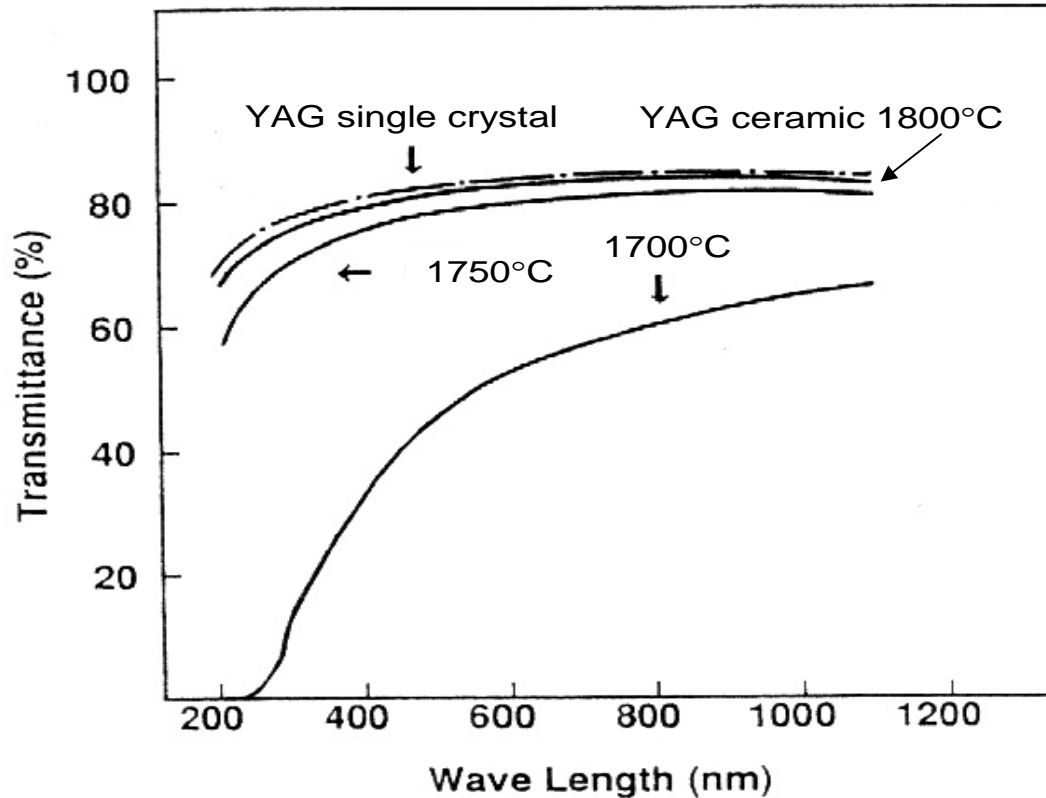
de With et al., *Mat. Res. Bull.*  
**19**, 1669-74 (1984)



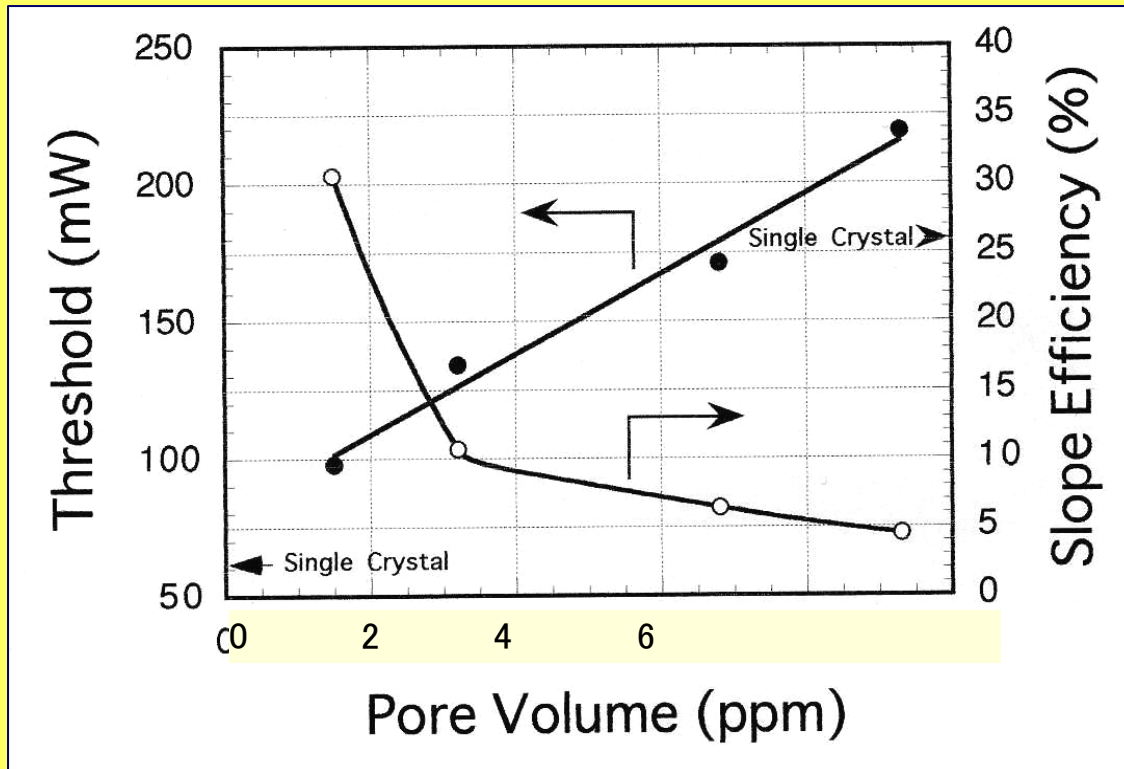
Ikesue et al. *J. Am. Ceram. Soc.*, **78**  
225-28 (1995)

# Effect of porosity on YAG transparency

- **Transparency is significantly affected by the residual porosity**
  - Submicron pores cause scattering and reduce transparency
  - Silica doping required (0.5 wt% TEOS = 0.144 wt% SiO<sub>2</sub>)



Porosity of  $< 1.5$  ppmv is required for crystal-like transparency



When the pore volume is less than 1.5 vol ppm, the laser performance of polycrystal specimen was nearly equal to those of single crystal. The lasing performance (threshold and slope efficiency) of ceramic specimens is clearly attributable to the pore volume.

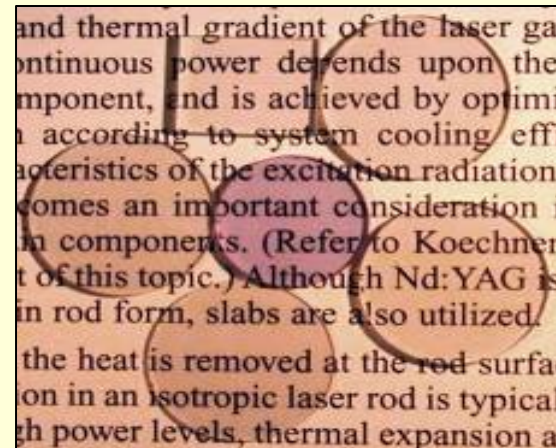


# Transparent ceramics for laser gain media

- Transparent ceramics have processing advantages relative to melt grown single crystals.
  - Relatively short processing cycle (a few days)
  - Do not need iridium crucible for melting
  - **Homogeneous composition**



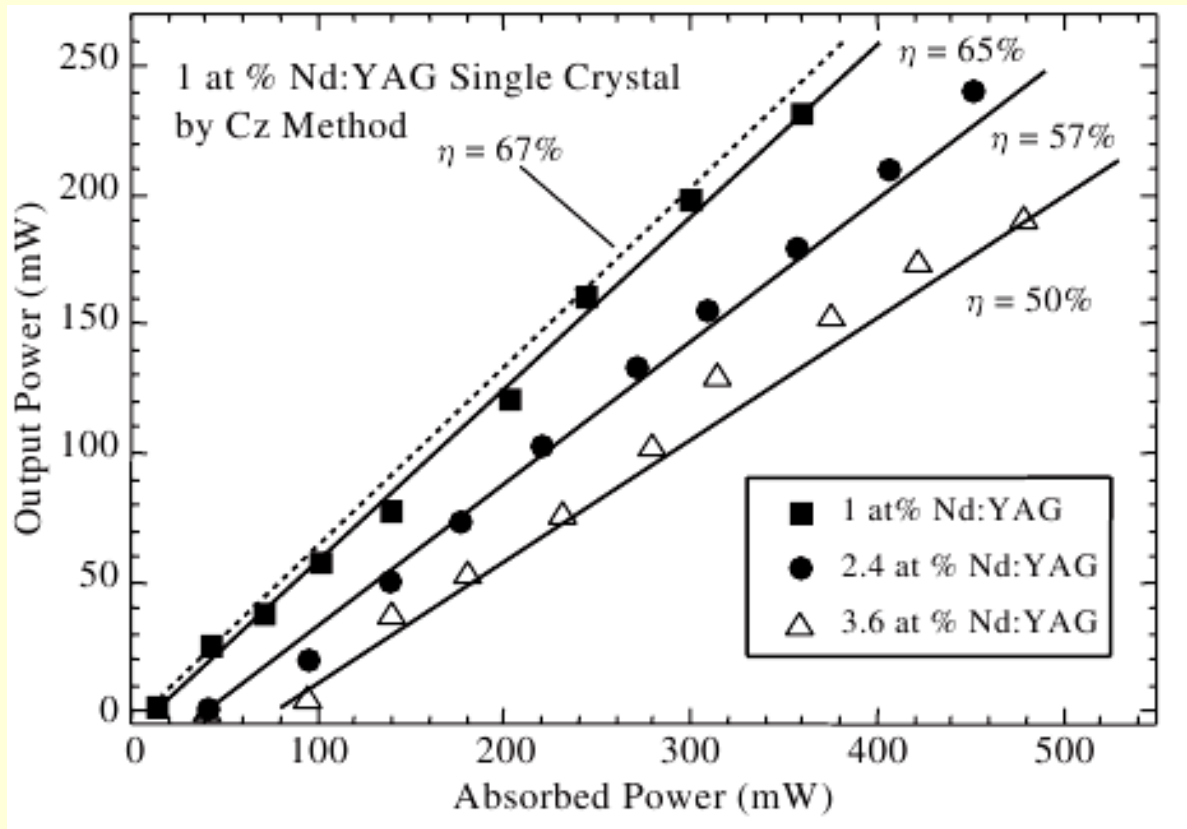
Konoshima Chemical Co. Ltd,  
Nd:YAG (100 x 100 x 11 mm)



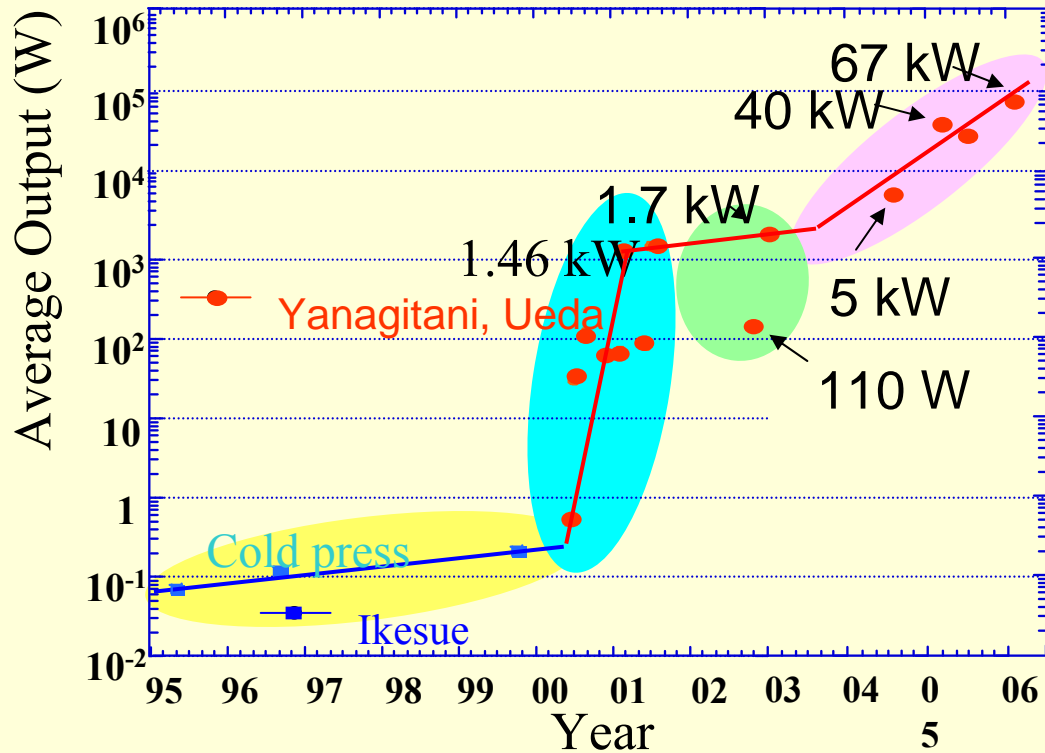
Konoshima Chemical Co. Ltd: cw  
from top: Yb:YAG;  $Y_2O_3$ ;  $Sc_2O_3$ ;  
Yb: $Y_2O_3$ ; Yb: $Sc_2O_3$ ; Nd: $Y_2O_3$

# Transparent YAG ceramics for high power lasers

The optical and laser properties are equivalent to or better than YAG single crystal

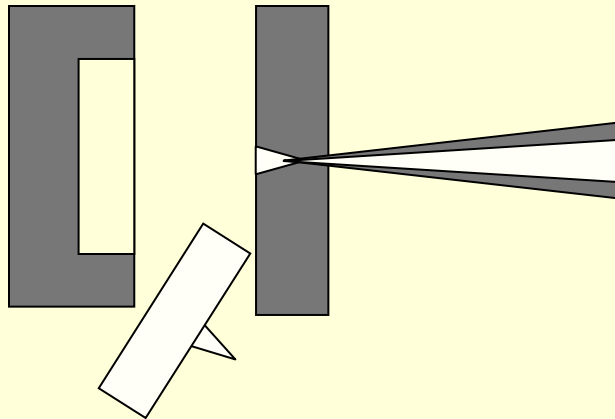


# Commercial Nd:YAG ceramic for high power lasers



10 x 10 x 2 cm slabs of Nd:YAG; ST&R 10-17 (April 2006)

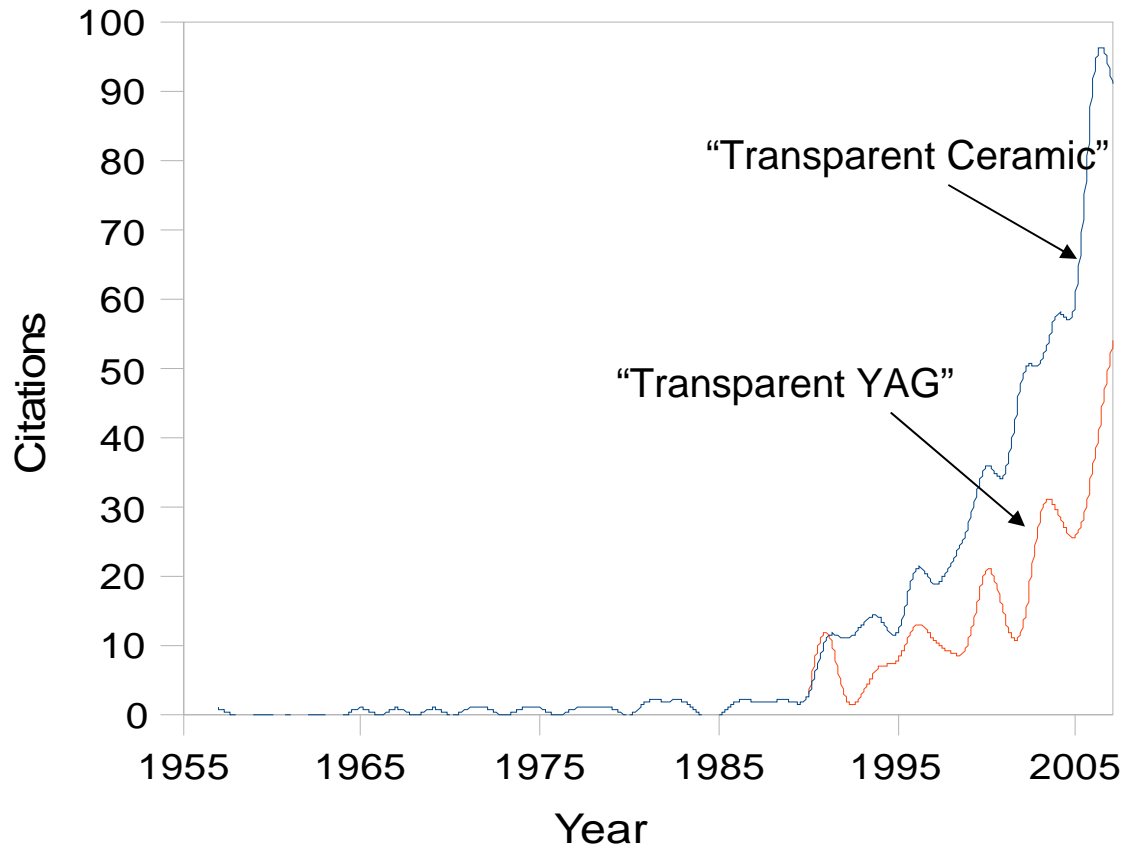
# Injection molded optical ceramics (Toshiba Ceramics Inc.)



2<sup>nd</sup> LCS Symposium  
In Tokyo, UEC  
Nov. 10-12, 2006

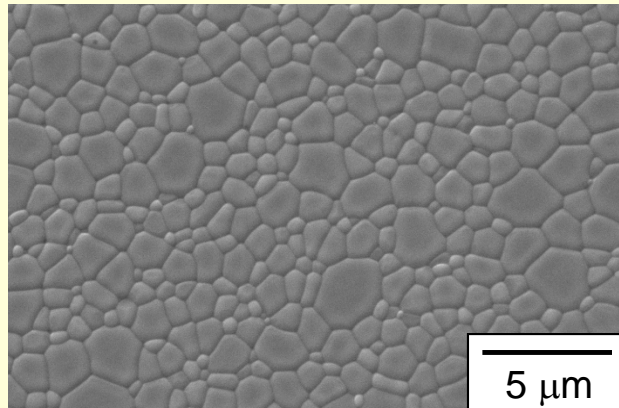
Ceramic YAG laser for  
Backlighting source of LCD-TV.  
Consumer market oriented. <\$100

# Papers about transparent ceramics

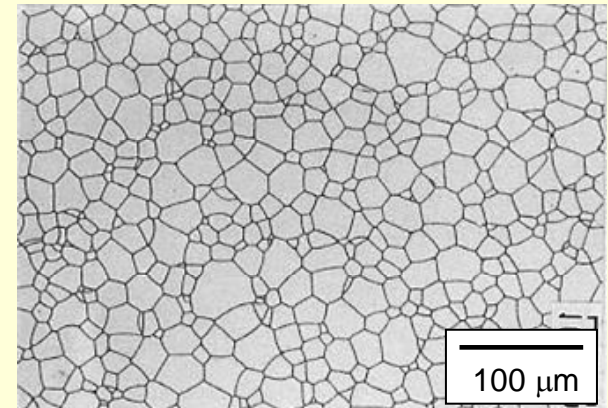


# Polycrystalline ceramic YAG process

	Co-precipitation	Reactive sintering
Company	<b>Konoshima (Yanagitani, Yagi, Ueda)</b>	<b>JFCC &amp; Polytechno Co. (Ikesue)</b>
Powder	<b>Coprecipitate metal chloride</b> - complex process - difficult to scale up	<b>Oxide powder from alkoxide</b> - easy process - economically competitive
Calcination	1200-1300°C	Not necessary
Forming	Slip casting	Dry pressing (spray dried powder)
Sintering	Vacuum in metal furnace	Vacuum in metal furnace
Grain size	< 5 μm	20-30 μm
Laser generation	1.46 KW	700 Watt
Patent	JP 10-101333, JP 10-101411	JP 03-218963 (by Krosaki)



Konoshima, 8 at% Nd:YAG

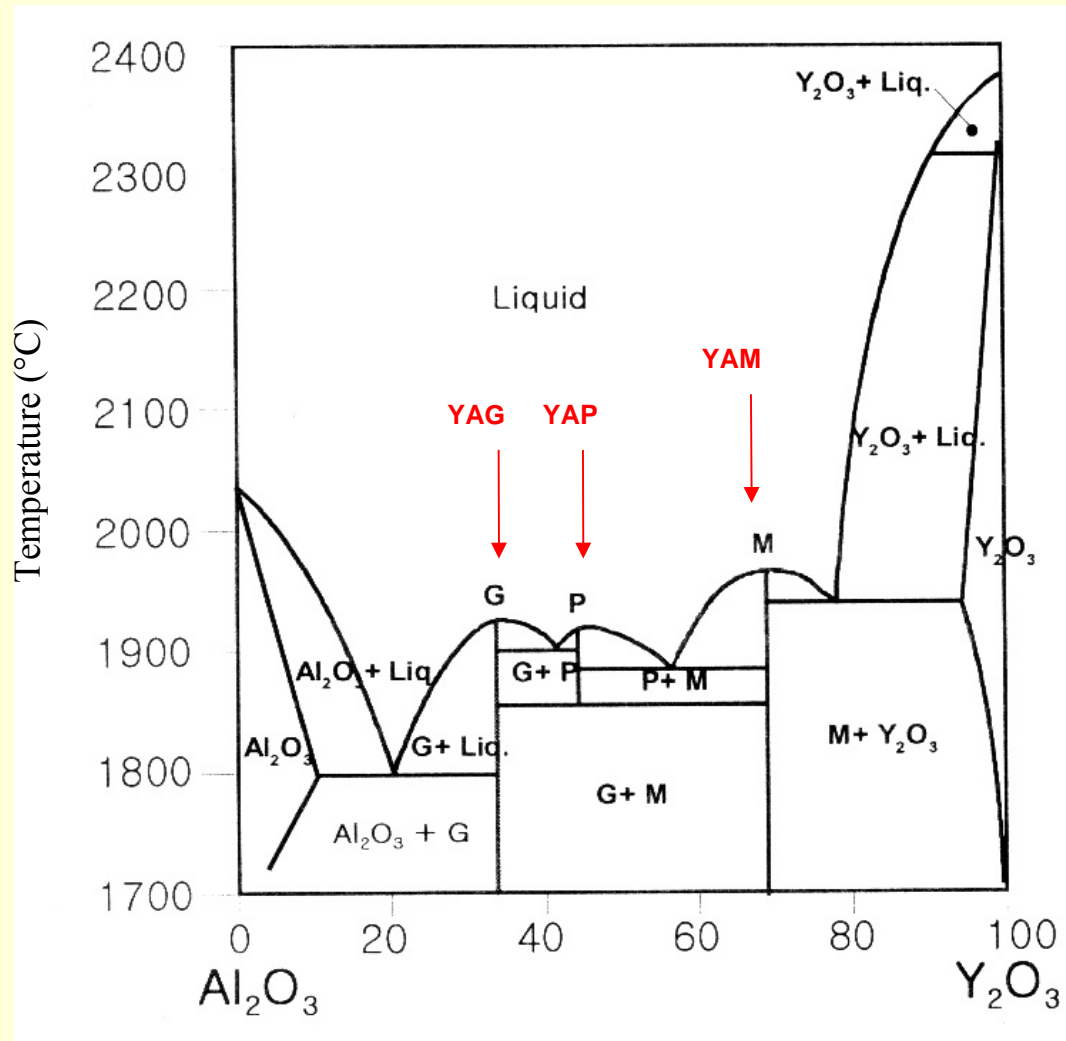


Ikesue 1.1 at% Nd:YAG

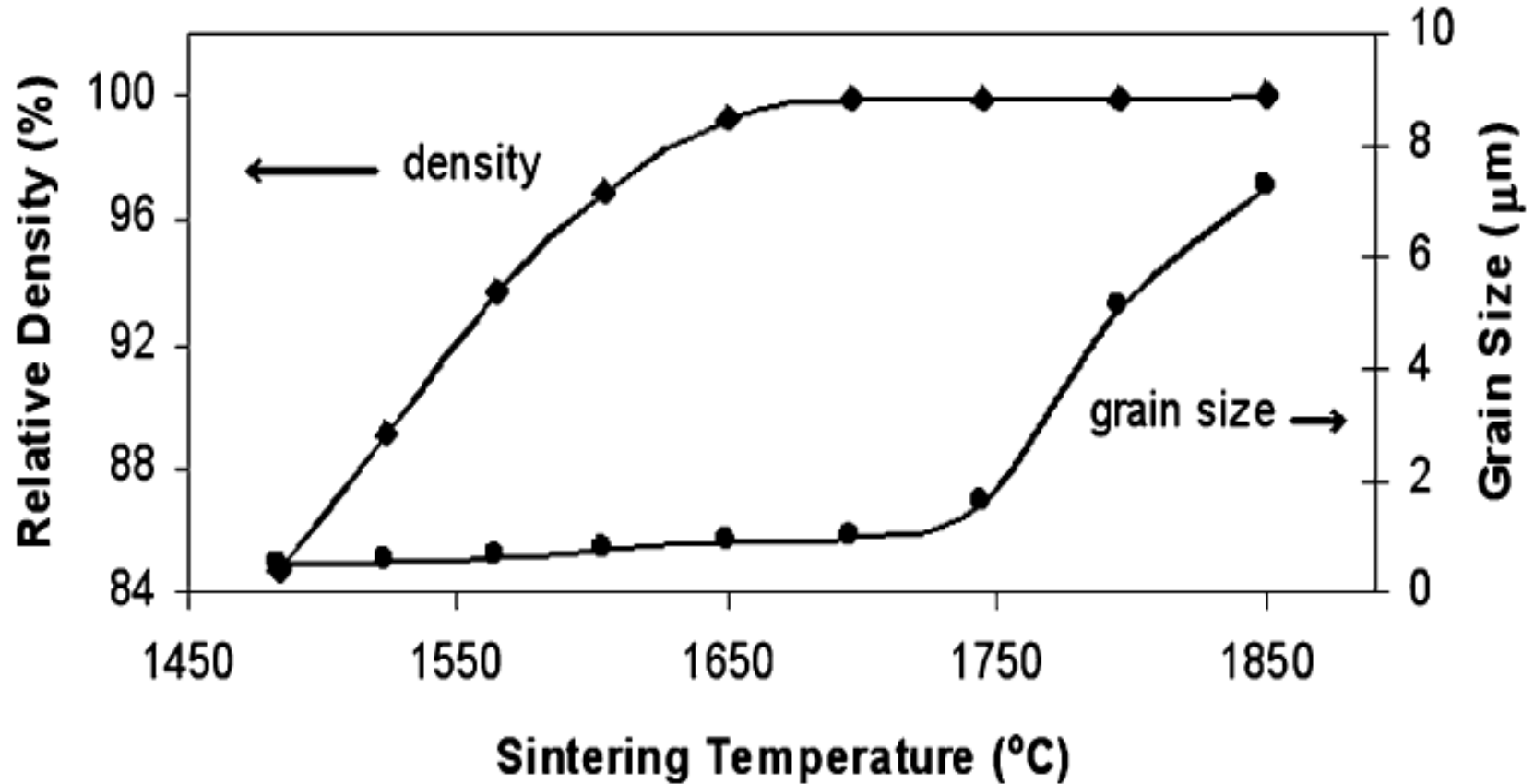
*J. Am. Ceram. Soc.*, **78** 1033-40 (1995)



# Stoichiometry is a major processing challenge for YAG laser gain media



# Densification and grain growth of pure YAG ( $t = 2$ h)



Sintering activation energy = 237 kJ/mol

Grain growth activation energy = 946 kJ/mol

# Microstructures of pure YAG ( $T = 1484-1696\text{C}$ )

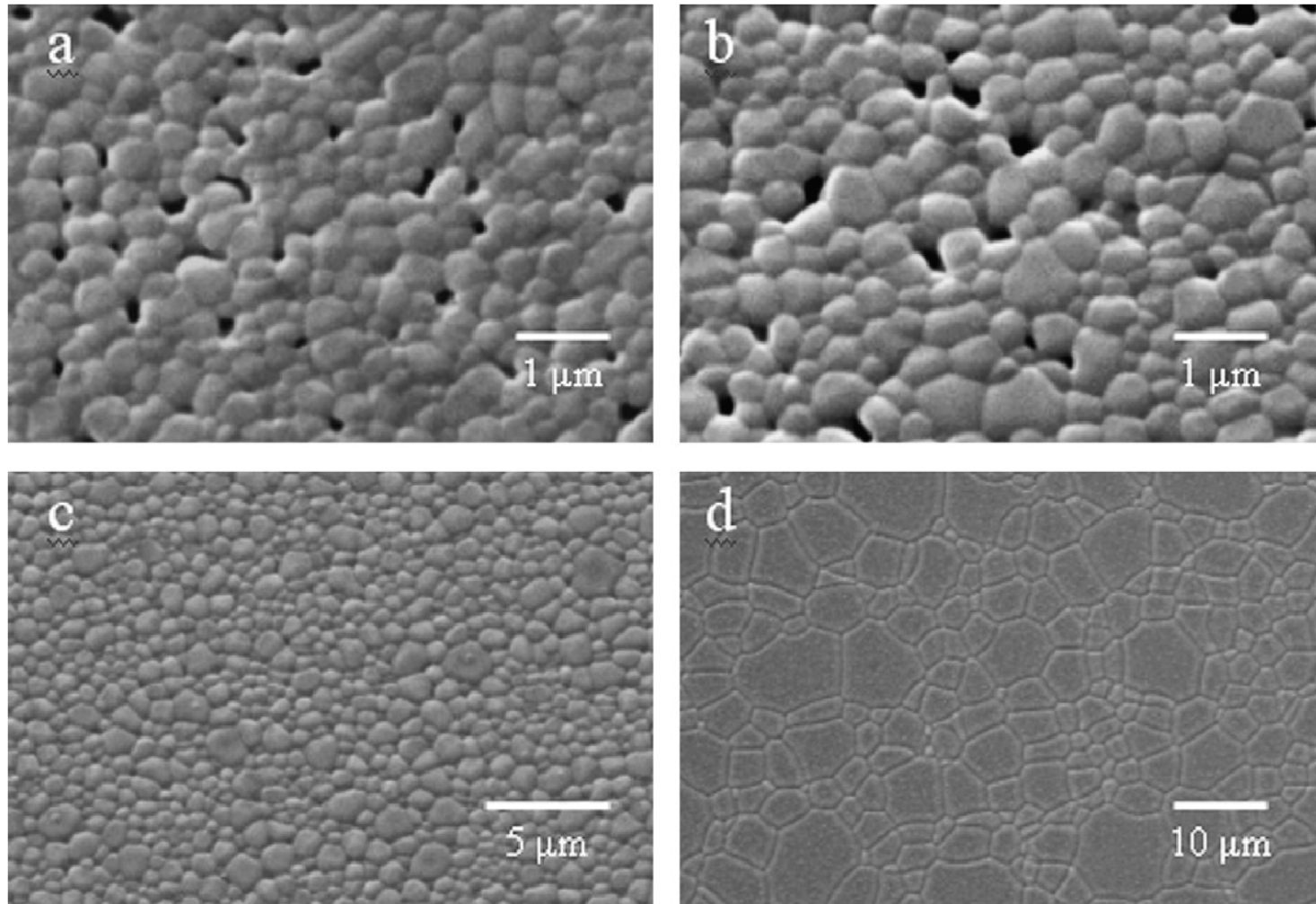
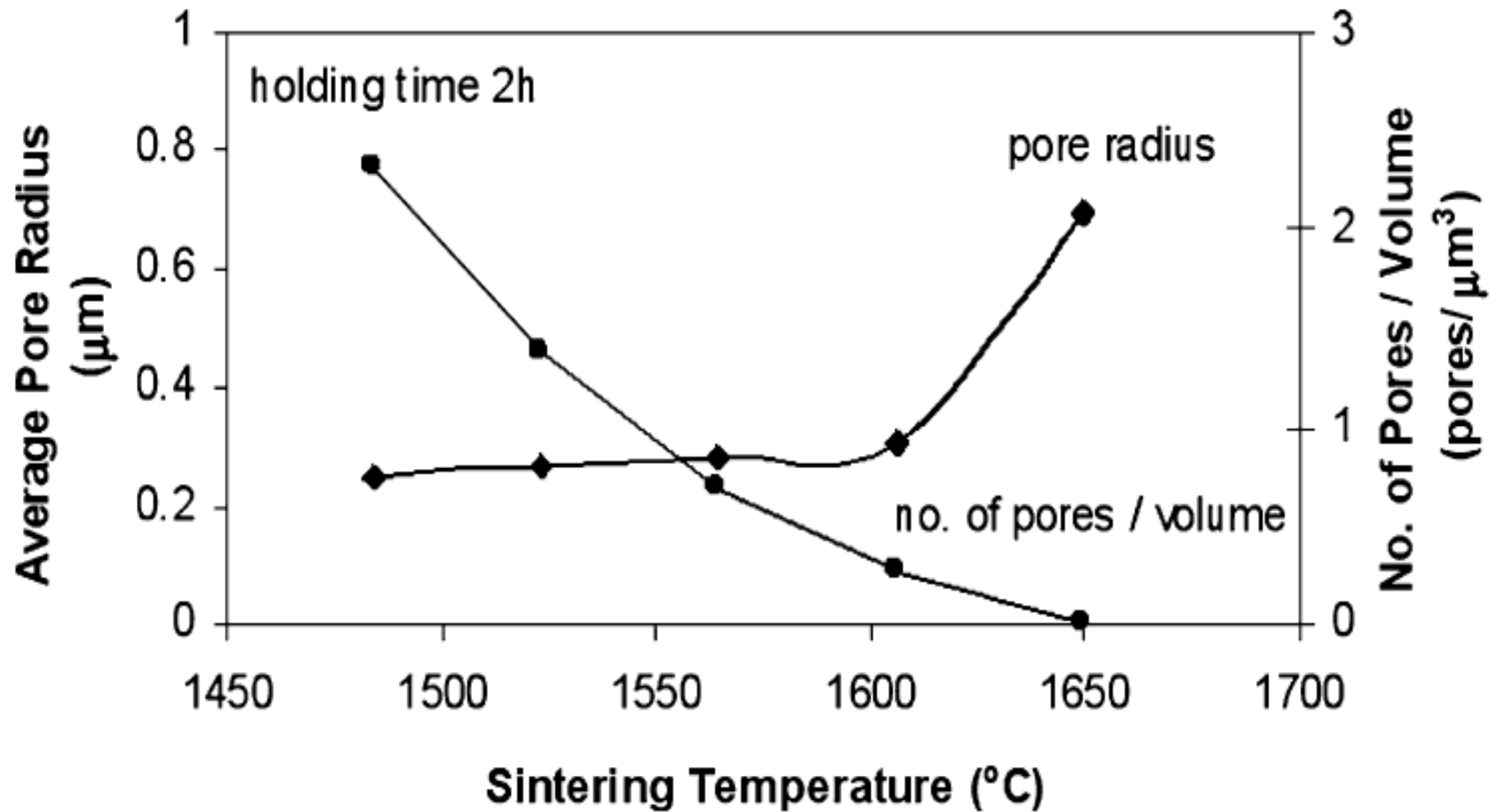


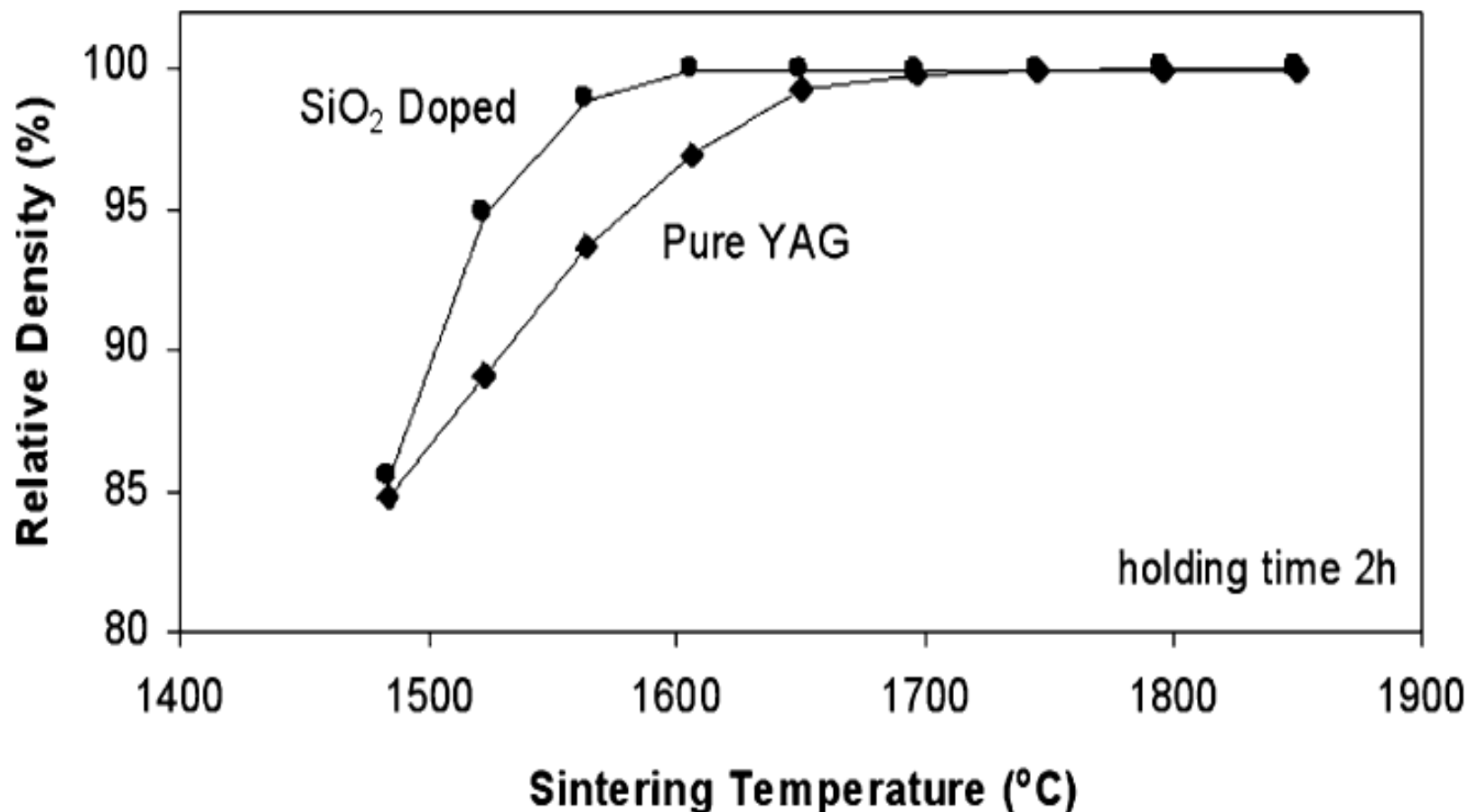
Fig. 3. SEM micrographs of pure YAG sintered for 2 h at (a) 1484 °C, (b) 1564 °C, (c) 1696 °C at

**S. Kochawattana, A. Stevenson, et al,  
*J. Eur Cer Soc* 28 1527-1534 (2008).**

# Pore size and pore number per volume for pure YAG



# Densification of silica doped (0.144 wt%) and pure YAG



# $\text{SiO}_2$ doped YAG ( $T = 1484\text{-}1850\text{C}$ )

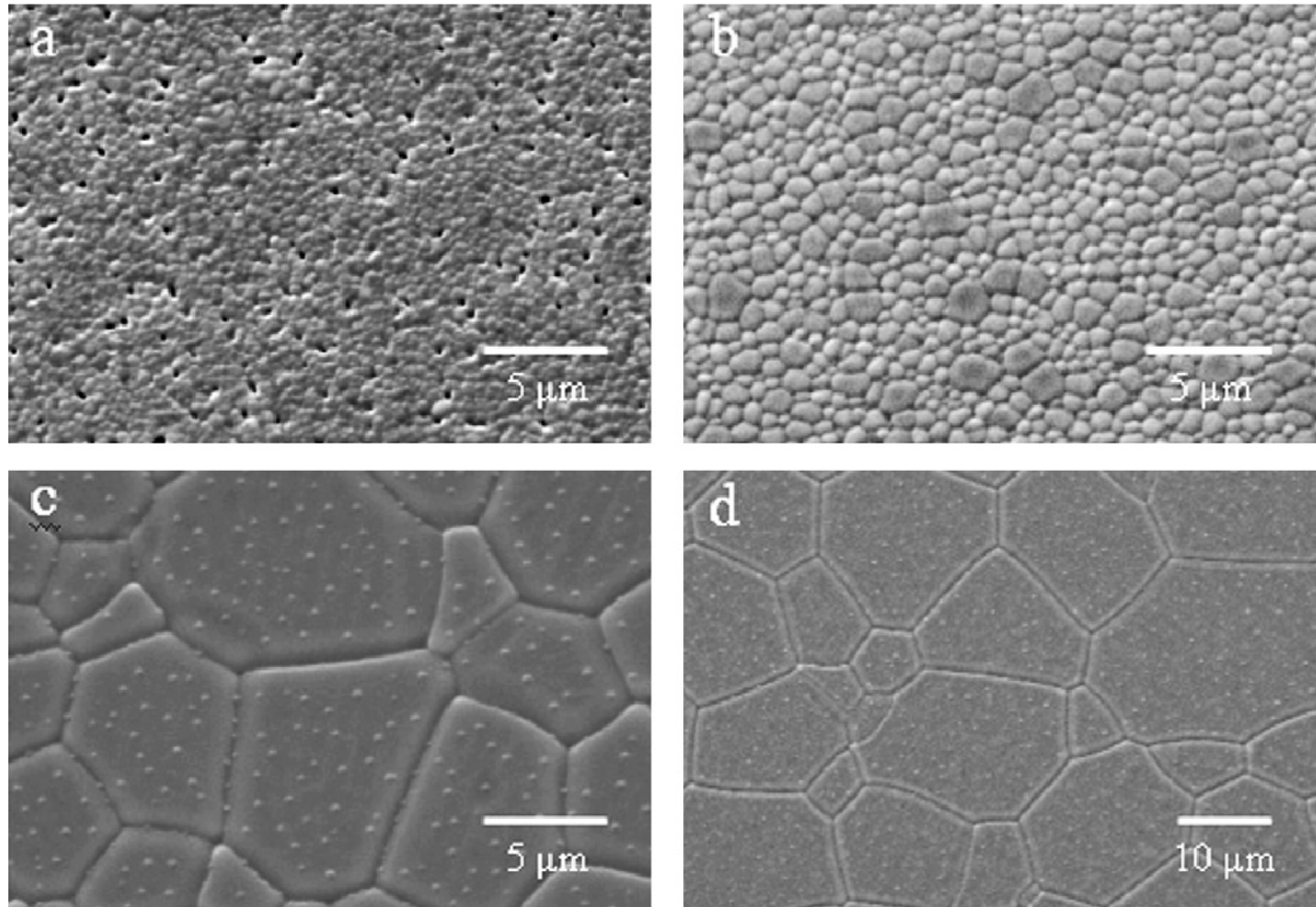
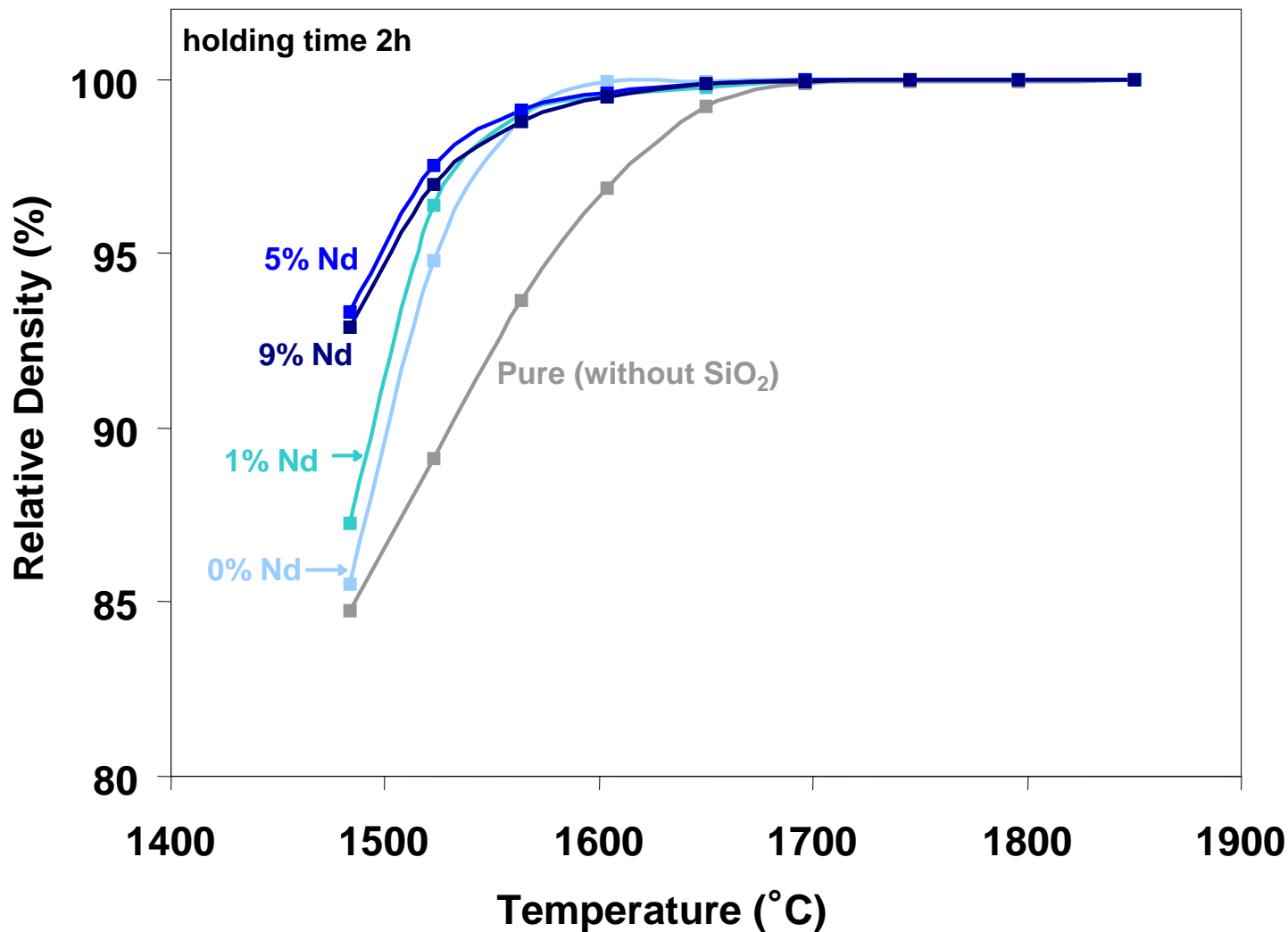


Fig. 7. SEM micrographs of  $\text{SiO}_2$  doped YAG sintered for 2 h at (a) 1484 °C, (b) 1606 °C, (c) 1745 °C and (d) 1850 °C.



# Densification of $\text{Nd}_2\text{O}_3:\text{YAG}$



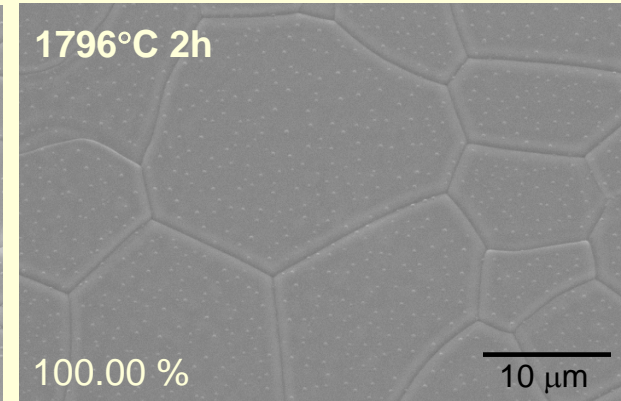
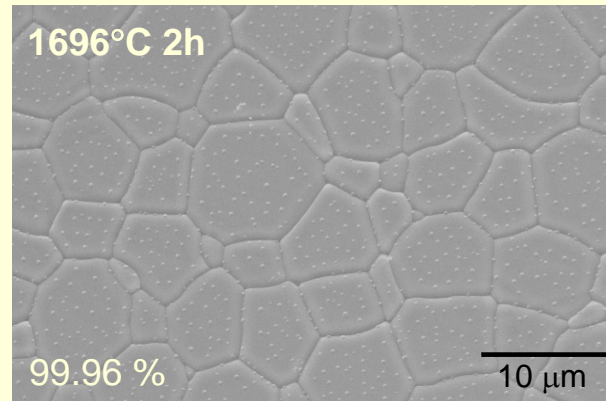
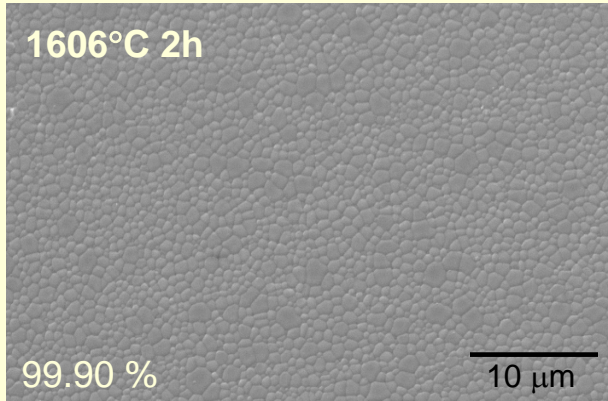
All samples contain 0.144 wt% silica except Pure.

S. Kochawattana, A. Stevenson, et al,  
*J. Eur Cer Soc* 28 1527-1534 (2008).

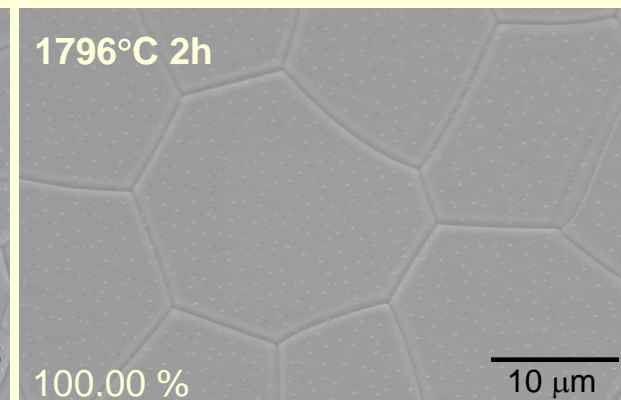
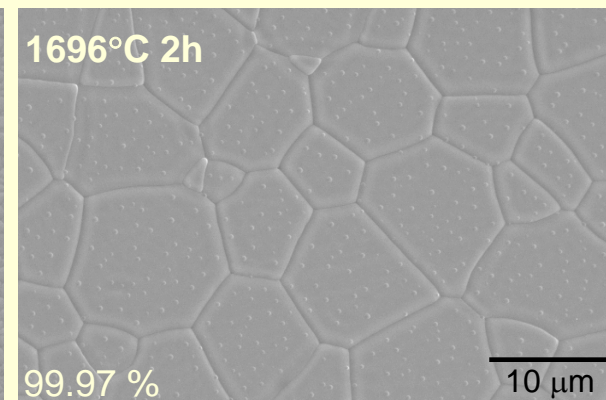
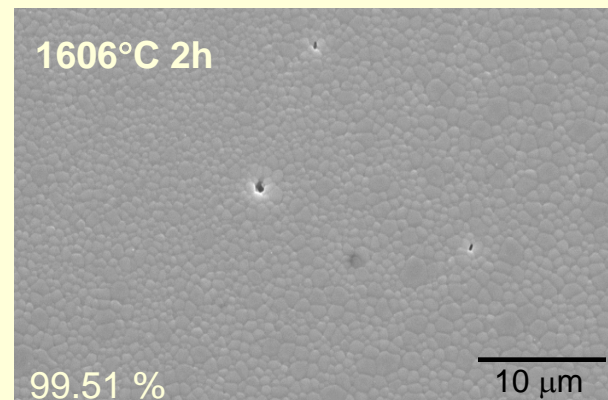
# Microstructures of $\text{Nd}_2\text{O}_3:\text{YAG}$ ( $t = 2\text{ h}$ )

All samples contain 0.144 wt% silica

## 0% Nd:YAG



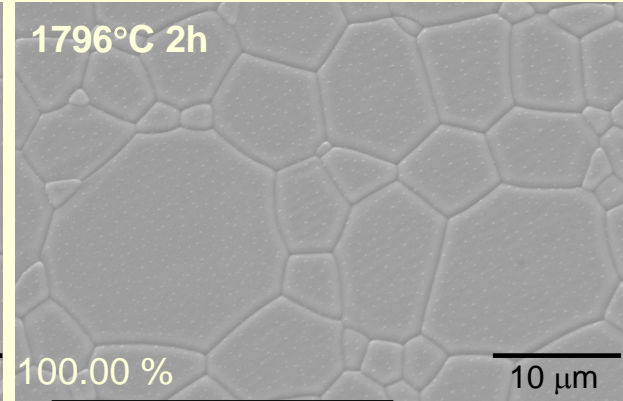
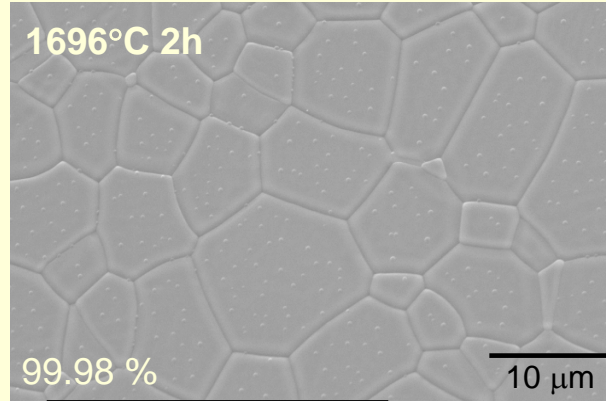
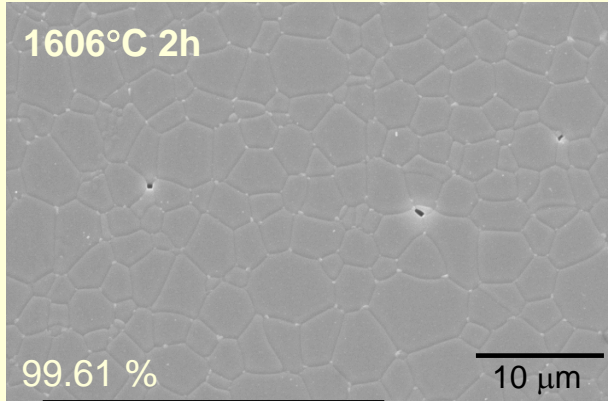
## 1% Nd:YAG



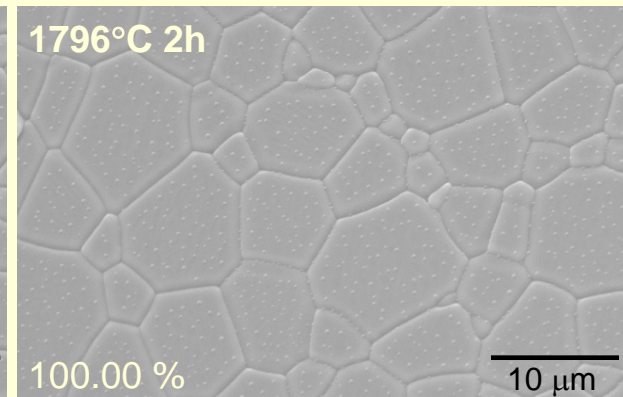
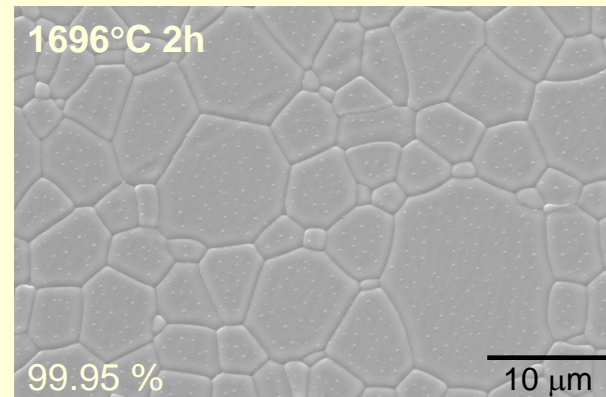
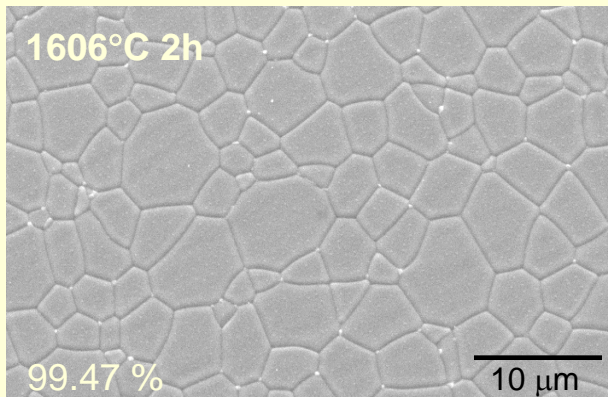
# Microstructures of $\text{Nd}_2\text{O}_3:\text{YAG}$ ( $t = 2 \text{ h}$ )

All samples contain 0.5 wt% of TEOS.

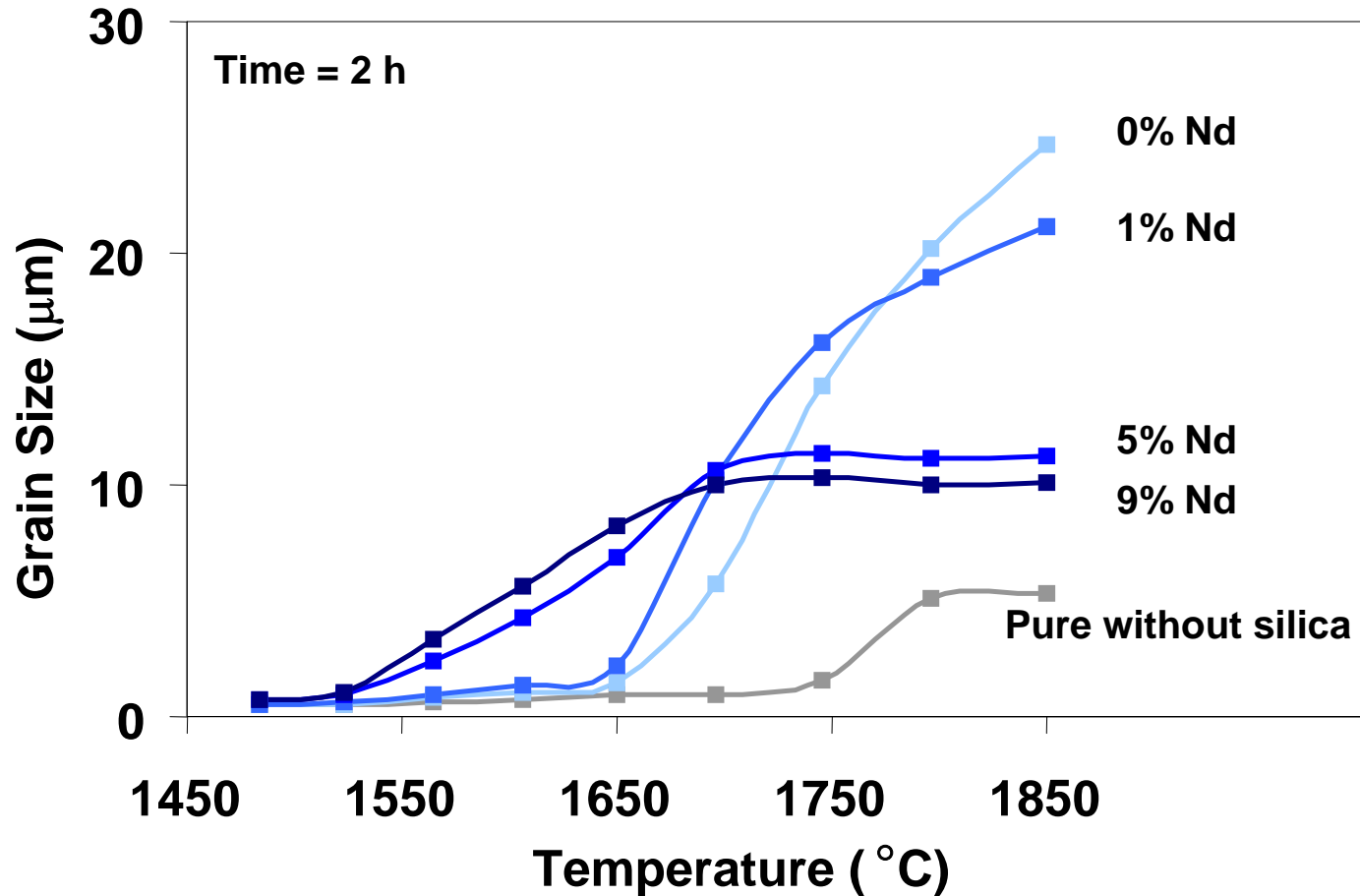
## 5% Nd:YAG



## 9% Nd:YAG



# Grain growth of $\text{Nd}_2\text{O}_3:\text{YAG}$ YAG ( $t = 2 \text{ h}$ )

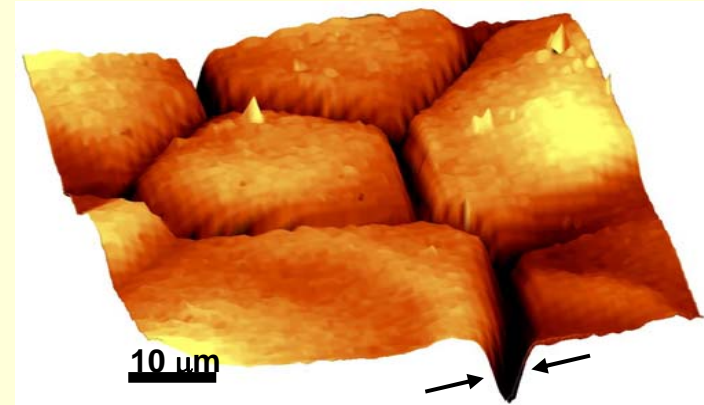
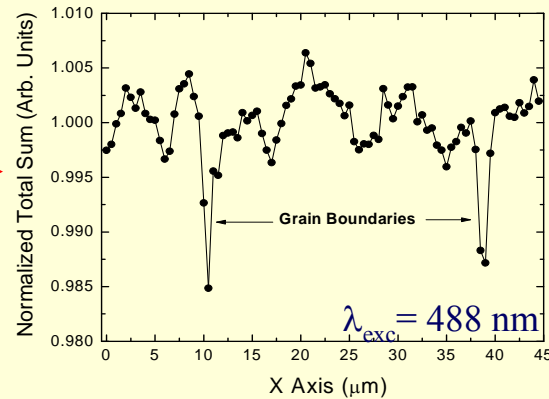
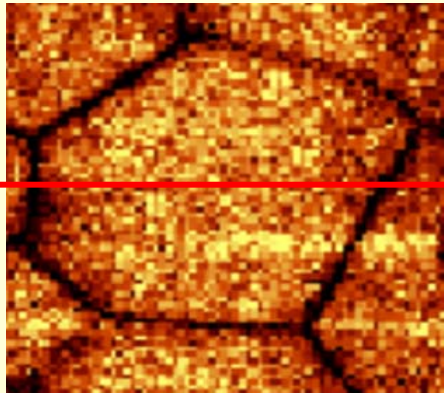


All samples contain 0.144 wt% silica except Pure.

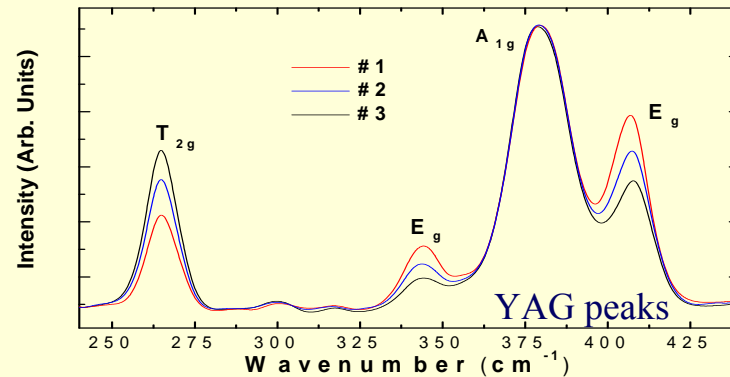
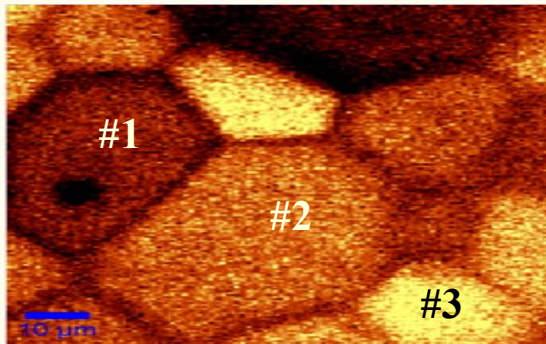


# Confocal microscopy of 1% Nd:YAG ceramics

## Fluorescence Mapping (Confocal & NSOM)



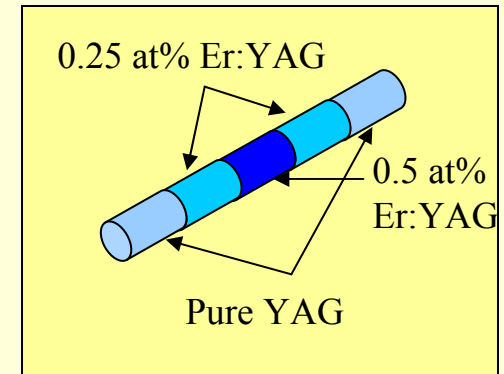
## Confocal Raman Spectroscopy



# Eye safer composite ceramic laser gain media

- Approach

- Material: Er:YAG (lases at  $1.64 \mu\text{m}$  – eye safer)
- Composite architecture for thermal management
  - Rod geometry with pure YAG at pump ports, Er:YAG for lasing
  - Composite structures formed in the green state

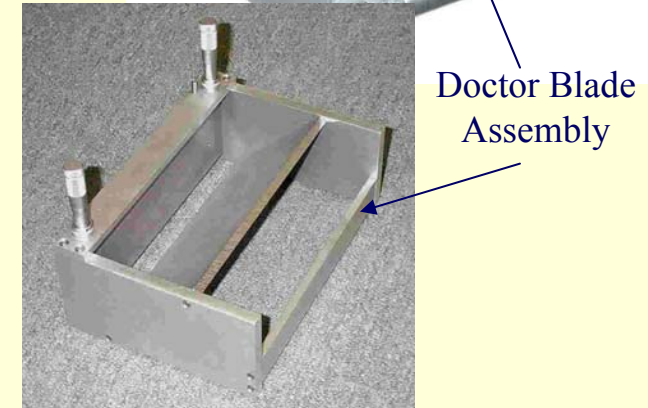
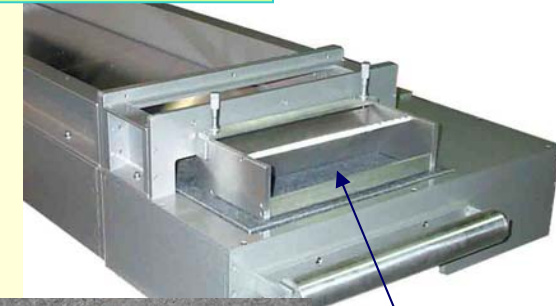
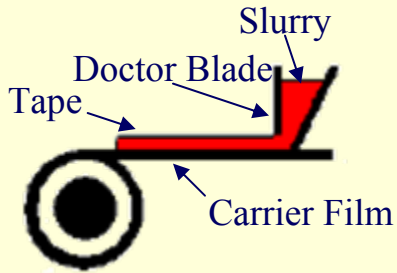
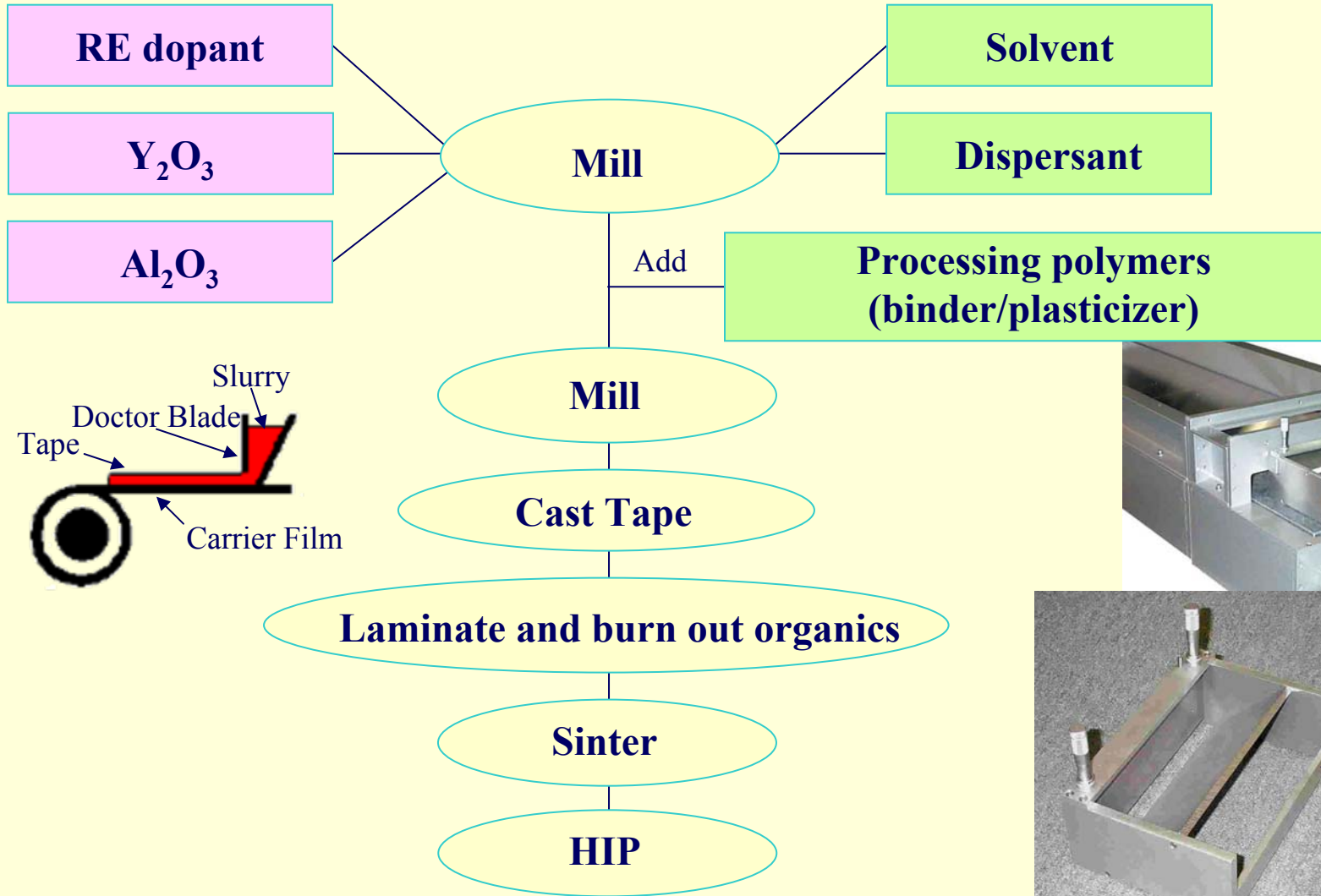


- Analysis

- Confocal scanning optical microscopy (CSOM)
- Bulk optical characterization (transmittance, absorption and emission cross sections)

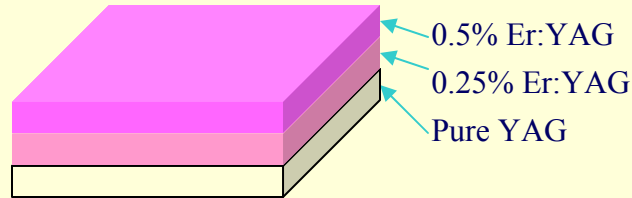


# Tape casting\* of YAG composites

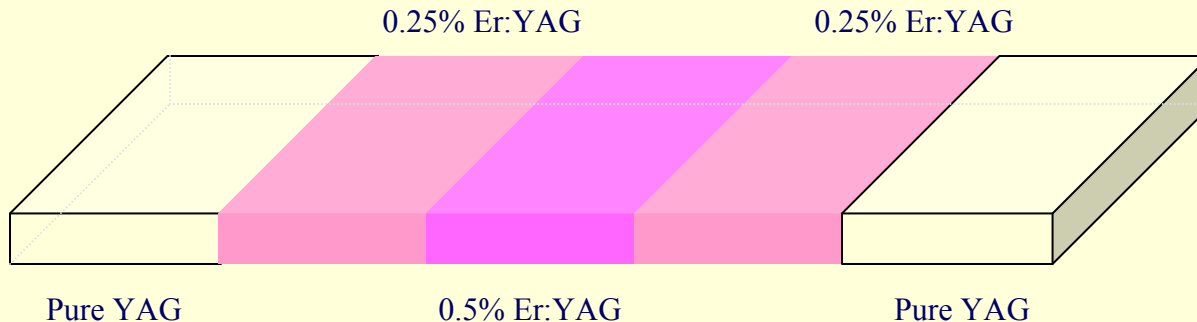


# Composite manufacture\*

- Stacked composites - cast individual compositions and stack them to make layered parts

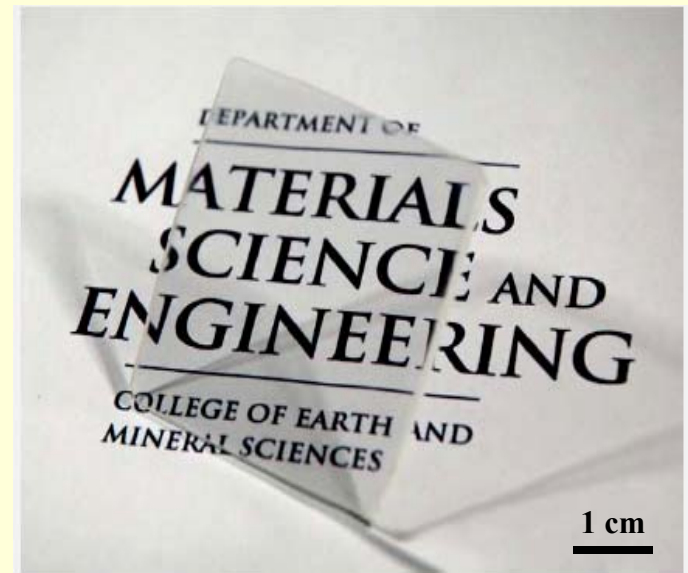
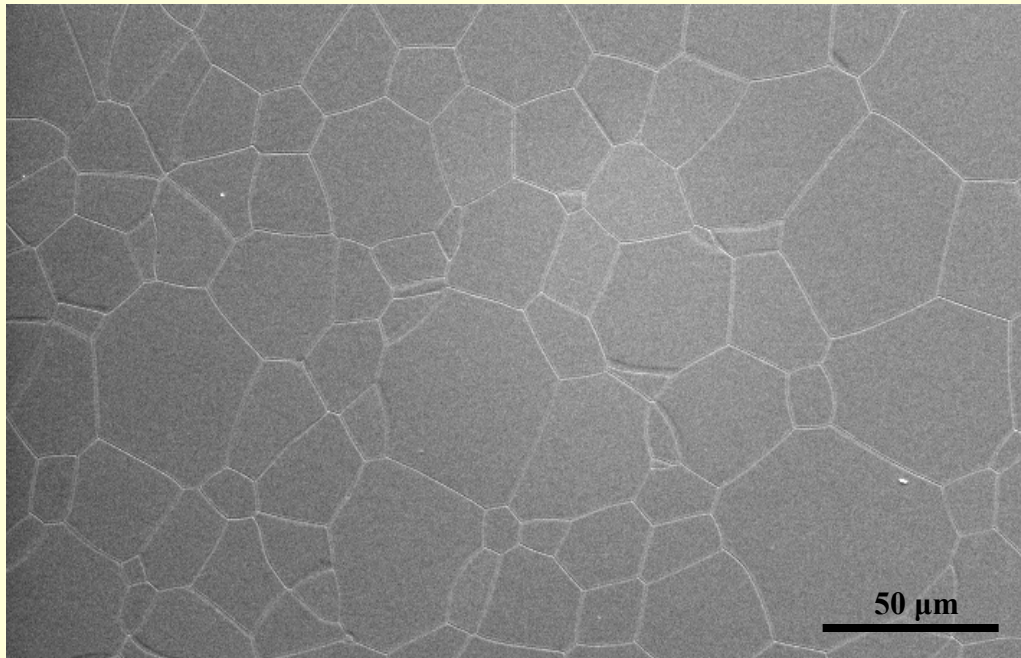


- Co-cast composites - simultaneously cast three slurries and stack tape layers to make slabs



# Pure YAG part (45 x 45 x 3 mm)

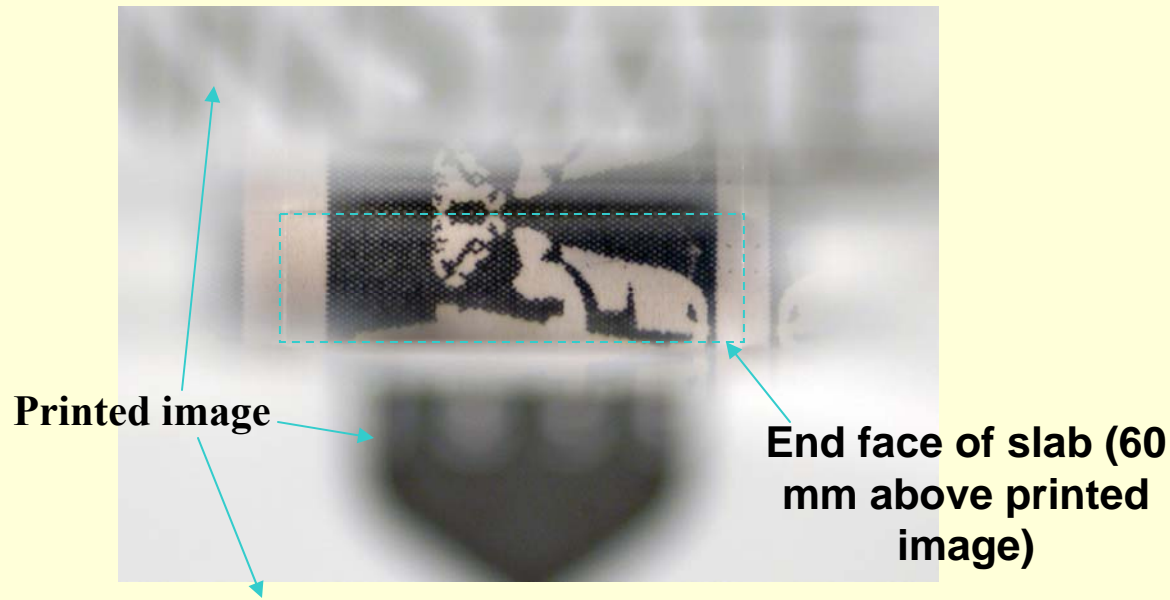
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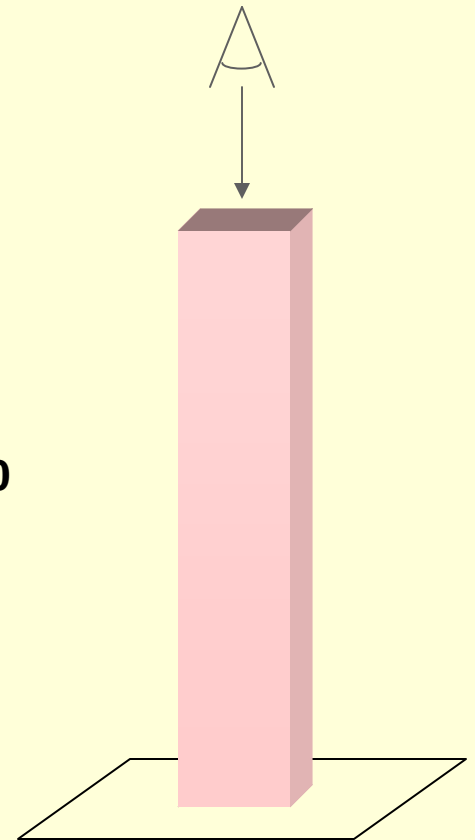
Sinter conditions: 1800°C/16 hr/vac

# Visual transparency of a composite slab

Photograph through the length of a 3.5 x 12 x 60 mm co-cast ceramic composite Er:YAG slab



PENNSSTATE

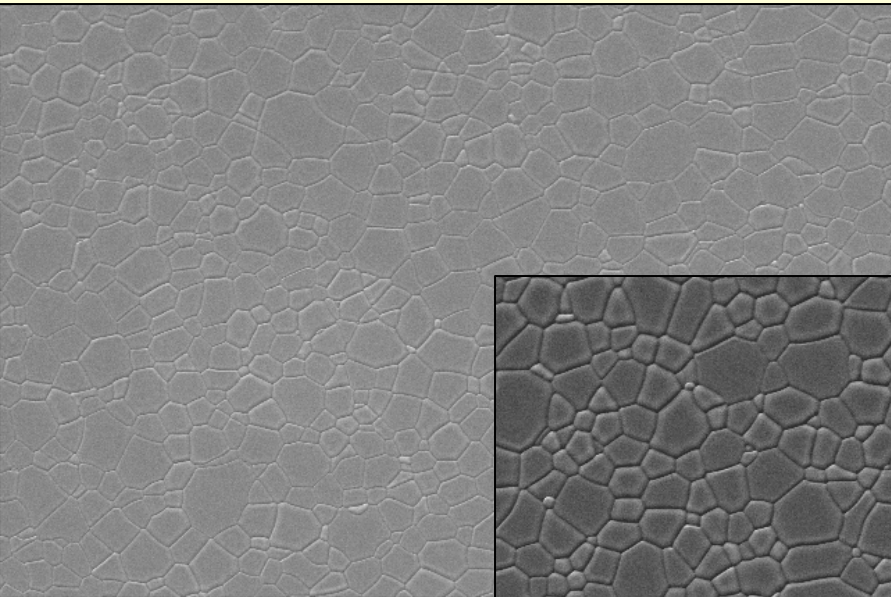




# Er:YAG composite microstructure

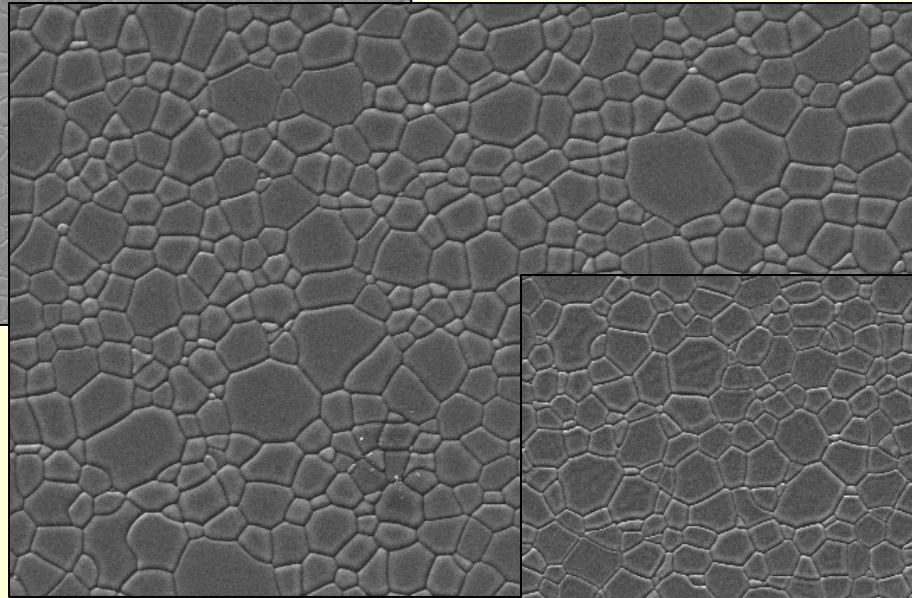
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SEM images of each composition in a co-cast Er:YAG composite (average grains sizes are 2-2.5  $\mu\text{m}$ )

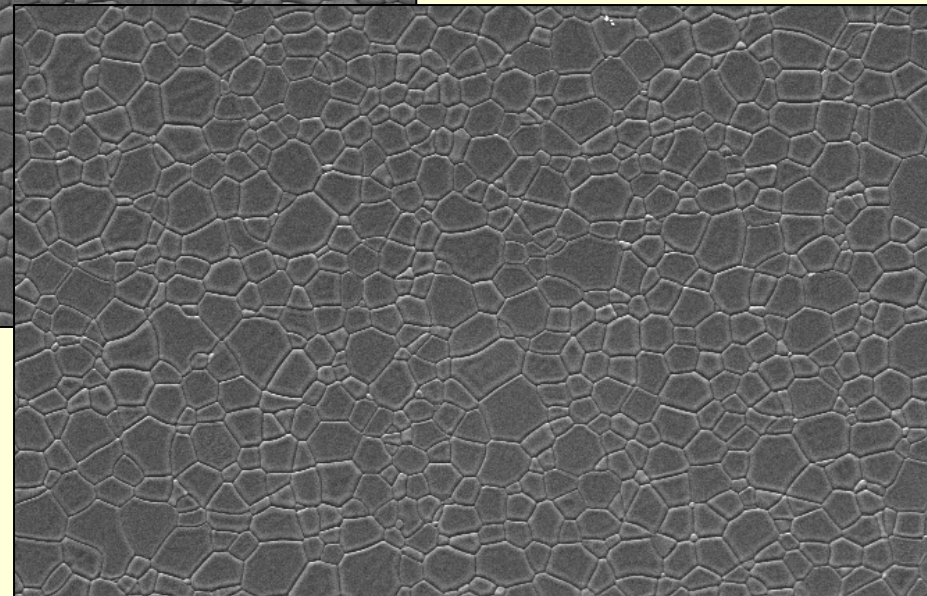


**Pure YAG**

**0.25% Er:YAG**



**0.5% Er:YAG**

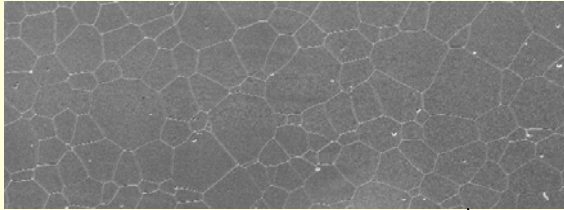


**10  $\mu\text{m}$**

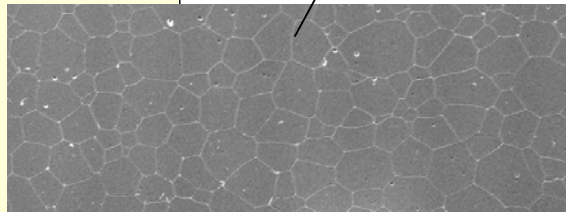
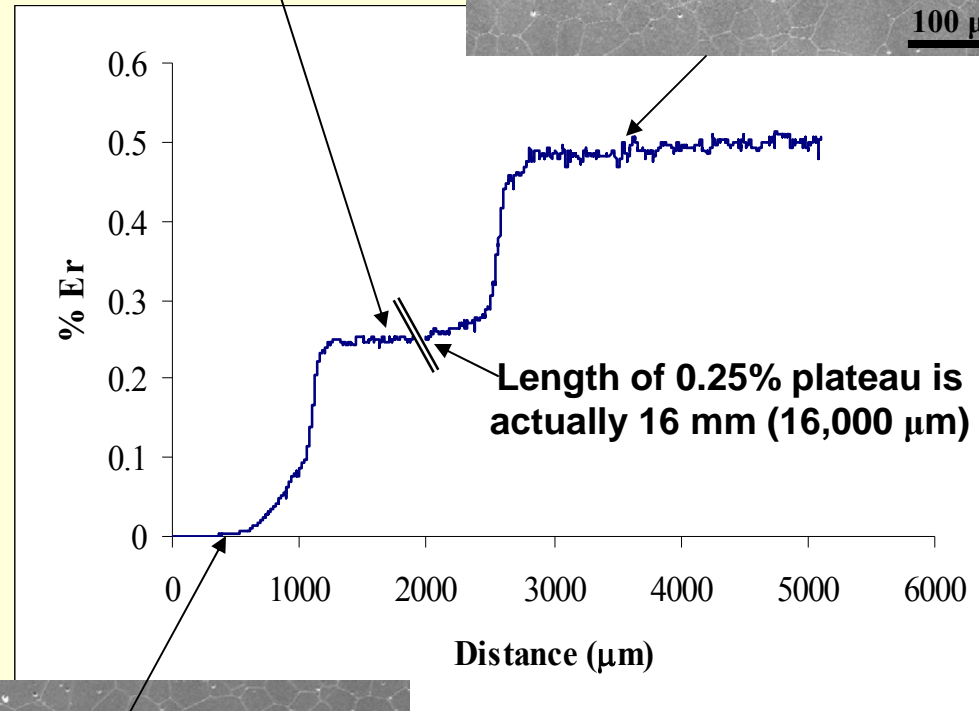
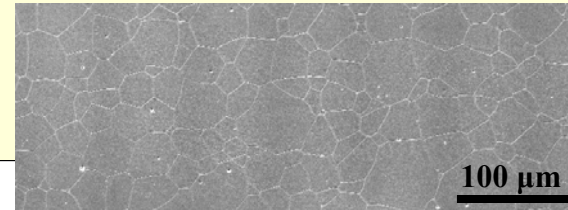
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# Er gradient across the co-cast composite

**0.25% Er:YAG**



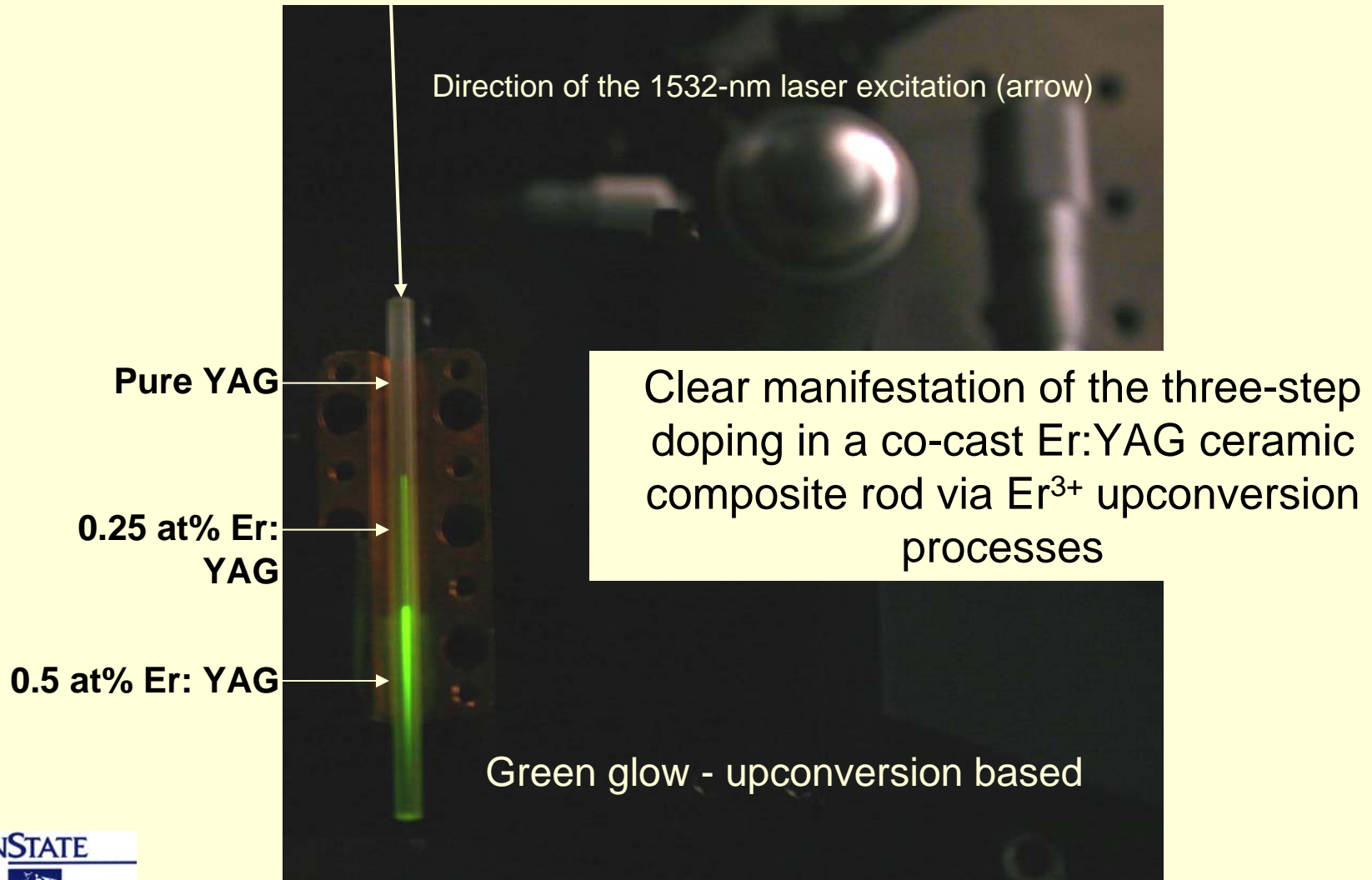
**0.5% Er:YAG**



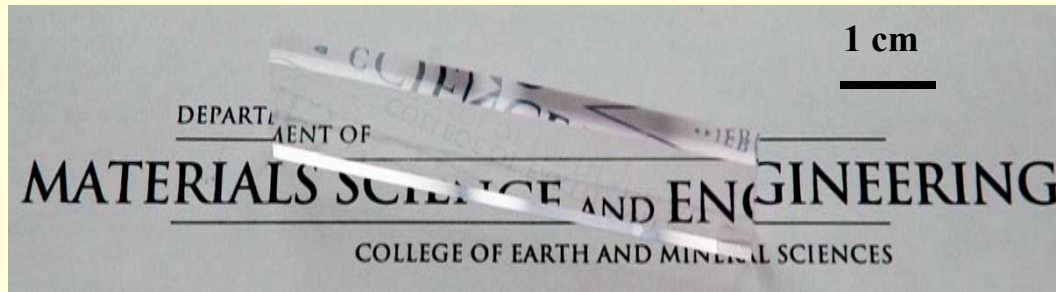
**Pure YAG**



# Visual Confirmation of the dopant concentration distribution in an Er:YAG composite rod



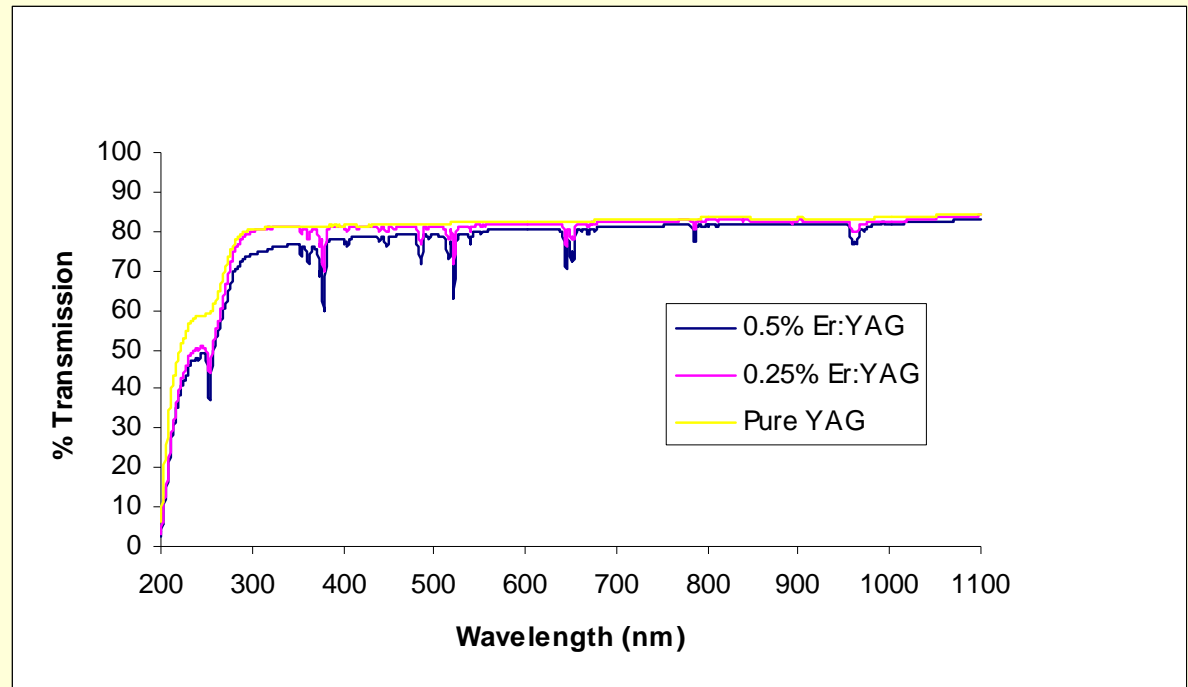
# In-Line transmittance of a co-cast Er:YAG composite slab



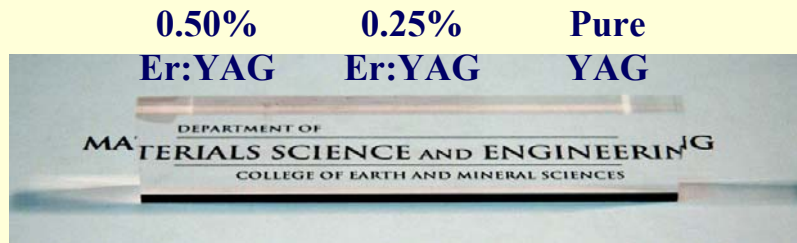
At 1064 nm, transmittance is:

- Pure YAG – 84%
- 0.25% Er:YAG – 83.6%
- 0.5% Er:YAG – 82.7%

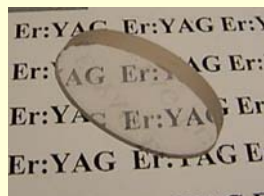
Theoretical transmittance (84%)



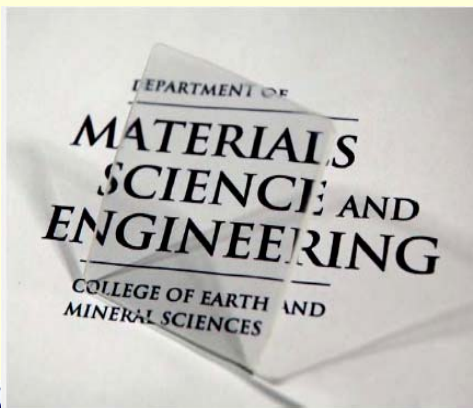
# Transparent YAG ceramics developed at Penn State



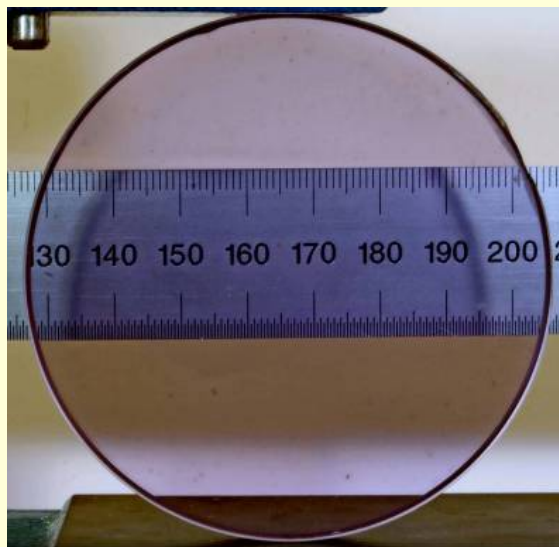
**Segmented Er:YAG composite slab  
(tape cast), 12 x 60 x 3.5 mm**



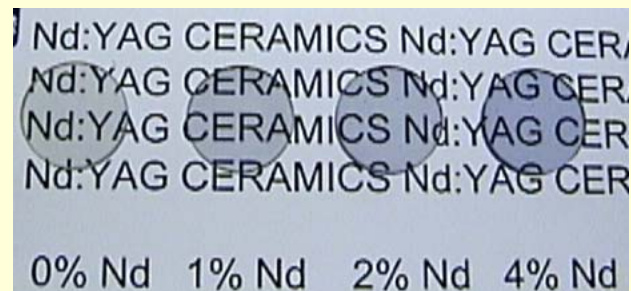
**1% Er:YAG (dry pressed),  
22 mm  $\phi$  x 4 mm thick**



**Penn State Pure YAG (tape cast),  
45 x 45 x 3 mm**



**1% Nd:YAG (slip cast), 78  
mm  $\phi$  x 5 mm thick**



**Nd:YAG (dry pressed)  
22 mm  $\phi$  x 3 mm thick**



**Stacked Er:YAG composite (tape  
cast), 25 x 25 x 3 mm  
(0/0.25/0.50% Er:YAG – bottom  
to top)**



# The Messing Group

Bob Pavlacka

Sang Ho Lee

Adam Stevenson

Libby Kupp



# Summary

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- Transparent ceramics have a bright future
- Bridges between processing and user communities will ensure more rapid advances
- Processing innovation will enable access to numerous unforeseen optical products
- Confocal microscopy allows unique perspectives on grain boundary chemistry

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“Where did I put that screw?”

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*"It's in here somewhere"*

---



"I think I've got it"



"Gary, can you help me out of here?"

---



*"Gary, That sweatshirt looks good on you"*

---





# Trying to look like Ludwig

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# Mapping the Rund um course

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*"The water is warm. Come on in!"*

---



"Hang on!"



PENNSTATE



"Gary, Isn't sailboat racing fun?"

---





*See, I told you this would be fun.*

---



Thumbs up!



Still smiling





# Sailing without wind

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He does rest!

---



# His pride and joy



Thank you Ludwig and Gisela

